



## HOUSATONIC RESOURCES RECOVERY AUTHORITY

Friday, December 18, 2020 10:30 a.m.

Zoom – Link posted on [www.hr-ra.org](http://www.hr-ra.org)

### AGENDA

1. Call to order, determination of quorum, pledge of allegiance
2. Public comment
3. Chairman and members' comments
4. Director's and tonnage reports (**Attachment A**)
5. Administrative approvals
  - a. \*Minutes of September 28, 2020 (**Attachment B**)
  - b. \*Financial statements through November 30, 2020 (**Attachment C**)
6. Old business
  - a. Recycling market update (**Attachment D**)
  - b. Glass program update
7. New business
  - a. \*Review and possibly vote to accept the 2021-22 FY HRRA audit (**Attachment E**)
  - b. \*Review and possibly vote to adopt a resolution to support the Federal and State Break Free from Plastic Pollution Act (**Attachment F**)
  - c. \*Hauler Registration Policy for non-compliant haulers
  - d. \*Household Hazardous Waste events for 2021 / Cost (**Attachment G**)
  - e. \*HRRA Authority Meeting dates for 2021 (**Attachment H**)
  - f. Building regional programs to address material management issues
  - g. \*HRRA 2021 Legislative Agenda (**Attachment I**)
8. \*Adjournment

#### *\*Possible action items*

cc: HRRA members and alternates  
Town clerks and FOI list

## HRRRA Director's Report September 18<sup>th</sup> to December 18<sup>th</sup>

### MSW and Recycling Tonnage Reports

The MSW and recycling tonnage reports through November 30<sup>th</sup> are attached.

- MSW tonnage year to date is running at **102%** compared to the same time last year.
- Recycling tonnage is running at **118%** compared to the same time last year.
- E-waste tonnage is running at **103%** compared to the same time last year.
- Glass collected from March 1, 2019 to December 10, 2020 – **358.25 tons**

### **Public Education**

HRRRA Staff has

Town	School	# Classes	# Students	# Presentations
Brookfield	Huckleberry Hill Elementary	8	164	3
Danbury	Shelter Rock Elementary School	4	90	1
Danbury	Pembroke Elementary School	3	60	1
Danbury	Stadley Rough Elementary School	2	40	1
Danbury	Morris Street School	3	66	1
Danbury	AIS Magnet School	3	80	1
Kent	Kent Center School	2	23	1
New Milford	Sarah Noble Intermediate School	11	242	2
New Fairfield	Meeting House Hill School	10	155	2
Newtown	Newtown HS Environmental Club	1	8	1
Newtown	Sandy Hook Elementary School	4	77	1
Redding	Redding Elementary School	5	90	1
<b>Total</b>		<b>56</b>	<b>1095</b>	<b>16</b>

- General Public Trash & Recycling Talk, October 7<sup>th</sup> via zoom
- General Public Organics 101 Talk, October 14<sup>th</sup> via zoom
- Redding Library Trash Talk, October 15<sup>th</sup> via zoom

### Meetings /Activities

- **CCM & HRRRA Collaboration** – D. Hamzy from CCM and J. Heaton-Jones partnered to create a 5 part working series on Solid Waste Management in Connecticut. The series covered the challenges municipalities are facing with possible capacity shortage for solid waste and financial constraints for recycling disposal. The series ended with discussions from the CCSMM Leadership team (Commissioner Dykes, M. Knickerbocker and L. Francis) on what does the future hold and what legislative initiatives can be implemented to create real solutions for municipalities across the state. The sessions were recorded and are available on the CCM website.

- **CCSMM** – M. Knickerbocker and J. Heaton-Jones have been working continuously on the new CT Coalition for Sustainable Material Management. Each working group listed below has met 5 times since September. A final report from the working groups will be issued in January. There will be a list of action items municipalities can choose from to enhance solid waste management infrastructure such as improved drop-off programs at local transfer stations, regional partnerships, and source separation initiatives, as well as legislative proposals for the upcoming legislative session and beyond. M. Knickerbocker chaired the EPR Working Group. J. Heaton-Jones attended all working groups representing the members of the HRRRA.

During the EPR Working Group, J. Heaton-Jones presented the impact the Paintcare program has had on the HRRRA member towns. Since 2014 the HRRRA members have avoided \$817,000 in disposal cost for paint. This is paint collected through Household Hazardous Waste events. In addition, the HRRRA Director presented the HRRRA glass pilot program to the entire CCSMM coalition.

In total there were 20 working group sessions for all 4 topics, and more than a dozen leadership meetings.

**The working groups focused on the following topics:**

1. **Extended Producer Responsibility (EPR)**
2. **Organics**
3. **Recycling (General overview)**
4. **Pay As You Throw**

- **Sherman Organics** – J. Heaton-Jones and D. Lowe met to discuss a possible organics program for the town of Sherman. A survey will be issued to residents to get their feedback.
- **Hauler Registration and Permitting** – J. Baum continues to register and permit new haulers and follow-up with non-compliant haulers. A full report/list of the non-compliant haulers are attached at the end of this report.
- **HHW Events** – Since the last HRRRA meeting, the towns of New Milford, Danbury and Weston hosted HHW Events. New Milford's event processed 905 cars with a total expense of \$55,830, Weston had 370 cars with a total cost of \$27,693 and Danbury's event had 722 cars with a total cost of \$39,536. The next HHW event will be held in the spring of 2021. A proposal of dates will be submitted to the Authority at the December 18<sup>th</sup> HRRRA meeting.
- **CT Product Stewardship** – J. Heaton-Jones has been working with municipalities across the state in alliance with the Product Stewardship Institute to revise proposed language for EPR for Cylinders. The group has met several times to discuss the elements of the EPR framework for the product. J. Heaton-Jones is also collaborating with Senator Craig Miner who may sponsor the bill.
- **Brookfield Recycling Center** – J. Heaton-Jones and S. Dunn have been working towards a plan for a proposed recycling center for the Town of Brookfield.
- **NERC Glass Committee** – J. Heaton-Jones continues to participate on the Northeast Recycling Coalitions Glass Recycling Committee.
- **NERC Fall Conference** – J. Heaton-Jones participated in the Northeast Recycling Coalition Fall Conference October 20-22. The fall theme was The Changing Face of Recycling.

- **Sustainable Weston** – J. Heaton-Jones met with the Sustainable Weston committee to discuss improving recycling programs and infrastructure for the Town of Weston.
- **Annual HRRRA Audit** – The annual HRRRA Financial Audit took place during the week of October 26<sup>th</sup>. The final report will be presented at the December 18<sup>th</sup> HRRRA meeting and it will be posted on the HRRRA website once it is approved by the full Authority.
- **WestCOG** – J. Heaton-Jones met with T. Fontanella and the WestCOG consultant Barton & Loguidice. The purpose of the meeting was to discuss the role HRRRA plays for member towns, what services are provided, and how regional contracts work for members. The HRRRA Director offered to assist in anyway to further their study for non-HRRRA member towns that are part of WestCOG.
- **National Zero Waste Conference** – J. Heaton-Jones participated in the NZW conference in late November. The conference was a three-day educational and networking event organized by the **National Recycling Coalition**. There were presentations from local, national, and international experts on the latest updates and best practices for Zero Waste initiatives.
- **Glass Pilot Program** – The Towns of Bridgewater and New Fairfield received their glass containers in November. The Town of Brookfield approved a container to be placed on municipal property. The Oak Ridge Danbury transfer station is waiting for City approval to make modifications to their Mom & Pop drop-off facility before placing a container at their site. The last three towns to have containers placed are Kent, New Milford and Weston. Urban Mining has begun accepting the source separated material from the HRRRA region.
- **Regional Transfer Station Services** – At the September 2020 Authority meeting the HRRRA Director proposed a study to research and identify what services transfer stations could share to reduce cost or what services could be regionally contracted similar to the MSW and Recycling contracts to enhance quality and price for member towns. The HRRRA Director requested information from each member towns transfer station and finance department to compile a report and proposal. There are many towns who have not yet provided the information in order to complete the study. The HRRRA Staff will present the findings at the February 2021 Authority meeting.
- **Billboard Contest** – The 14<sup>th</sup> annual contest is underway with Covid-19 modifications. Hard copies of the entry templates have been distributed to many schools and townhalls as well as virtually in order to reach as many children as possible who are currently learning remotely. In addition, Drop-boxes have been set up in every town for students to either pick-up templates or to drop-off entries.
- **NEXT HRRRA Meeting is scheduled for Monday, February 22<sup>nd</sup>**

## **Non-Compliant Hauler Report**

The following individual reports are brief summaries of individual haulers who staff believes are conducting business within the HRRR. They have all been contacted on numerous occasions to comply with the state requirement to register their business. They have all refused to comply.

### **Charter Oak Carting - Chris Muscolino**

Per website, Fairfield County. Per 2019-2020 application, Charter Oak registered for Danbury, Newtown, and Ridgefield

<http://www.charteroakcarting.com/>

<https://www.facebook.com/charteroakcarting/>

After five attempts made by HRRR and several attempts made CT DEEP, Charter Oak remains unregistered. HRRR has been in contact with Charter Oak Since July 2020.

### **Dump Runners - Chris McDermott**

New Fairfield

<https://www.facebook.com/dumprunnersnewfairfield/>

HRRR contacted Chris due to a facebook promoting dump services in New Fairfield. Chris said he is not doing business and people continue to recommend him on Facebook. Chris claimed he called and asked for the post to be removed. He has been notified that if he does operate within the HRRR, he will be charged the penalty fee and be required to register. Chris was provided with instructions on how to submit a registration and permit application. Dump Runners is not registered with the state per the CONCORD search. As of 12/10/20, the Dump Runners Facebook page has not been removed. Dump Runner was also contacted in 2019.

### **Gerrit's Junk Be Gone/Dump Runs – Gerrit**

Ads promoting dumpster rentals were posted in Newtown Stop & Shop and Brookfield Shop Rite

HRRR contacted Gerrit three times. Garret claimed he is not doing business in our region. He was notified that if it is reported he is conducting business in our region after 11/12/20, he will pay the penalty. The business does not appear to be registered with the state of CT per the CONCORD search.

### **Joe's Junk Removal - Joe Chater**

Danbury - Ads were posted to Facebook in December

<https://junkremovalandmore.com/>

Joe was contacted by HRRR in May, July and August of 2019. He was mailed an application and did not complete it. December 7, 2020 Joe advertised dumpster rentals and junk removal on the Danbury-CT Tag Sale page. His website indicates he serves all of CT. Joe's Junk Removal is registered with State of CT under Yusef Chater in Meriden.

### **Lug My Junk - Joshua Gonillo**

Weston

<https://www.lugmyjunk.com/services>

HRRR contacted Joshua at Lug My Junk 6 times. HRRR reached out to Joshua because his website promoted junk removal in DA, RI, NF, BK, WE. After reaching out to him 5 times via phone and email, Jen spoke with Josh on the 6th attempt. Josh claimed he lives in Vermont and Lug My Junk is his side business. Jen advised Joshua that if he is not in fact conducting business in the region, that he should remove DA, RI, NF, BK, WE off his website. DA, RI, NF, BK were removed, however, Weston remains. Lug My Junk is registered with the State of CT to Joshua Gonillo of Stamford.

**Pro Junk Removal - Mark Provenzano**

Yard signs were spotted in Newtown and Bethel

<https://www.facebook.com/ProJunkRemovalLLC/>

HRRA reached out to Mark in September 2020, because signs were spotted in Newtown & Bethel advertising "Junk Removal" with a phone number of 203-261-2858. After researching the phone number and doing a business search, we discovered the business was "Pro Junk Removal LLC" based in Trumbull. Mark was informed his signs were spotted in our HRRA towns and he needs to register in the towns he is doing business in. He said he only does business in Trumbull, Monroe and Bridgeport. He then said he did not distribute the signs. Mark stated people steal his signs and use them for personal use. Mark was informed that he has been notified. He has 30 days to register with us. He claimed he is not doing business in our region and his lawyer friend will send us a letter. HRRA has not received a letter from his attorney or an application.

**Stateline Landscaping - Matthew Bottali**

Danbury and Ridgefield, however website promotes New Fairfield, Bethel and Brookfield.

<http://www.statelinelandscaping.com/>

On October 24th, HRRA received notification from the Ridgefield Transfer Station Operator that Matthew was using the Ridgefield Transfer Station with a resident permit (for business use). Stateline Landscaping is not registered/permitted through the HRRA. The HRRA reached out to Matthew in November and he was notified of the registration requirements. As of December 10th, HRRA has only received a partial application. Matthew has not submitted payment, certificate of Insurance, or MVR. Matthew has been contacted several times and notified he will receive penalty fees.

**Terra Services - Doug Griffin**

Previously registered in Danbury, Brookfield, Ridgefield. They are located in Bethel.

[https://www.terra-services.com/?utm\\_source=gmb&utm\\_medium=organic](https://www.terra-services.com/?utm_source=gmb&utm_medium=organic)

<https://www.facebook.com/TerraServicesLLC/>

HRRA reached out to Terra Service 6 times along with several emails from CT DEEP. Doug Griffin never returned a call. HRRA received a call from John Banks, who would not identify his relationship with Doug Griffin or the business. John stated Doug has relocated and Terra Services is no longer doing business. Based on their website and Facebook posts, they are in fact conducting business. They advertise dumpster rentals. J. Baum spoke with their receptionist on September 21st who confirmed that Terra Services is doing business. On November 19, 2020; Terra Services sent an email to Laurene Buckowski at CT DEEP stating they use the website to generate leads and receive a commission from the website, but that they do not collect waste. His website continues to promote dumpster rentals. HRRA has been in communication with Terra Services since July 2020 and we have not received an application or received a call or email from Doug Griffin.

### HRRR - MSW Tonnage

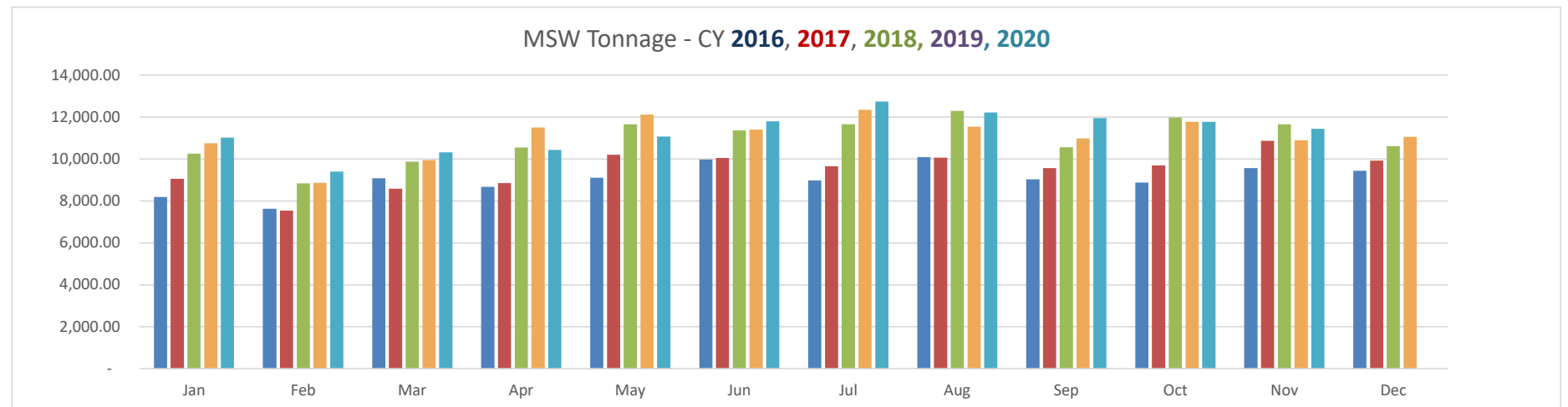
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	YTD as % of Prior YTD
Jan	10,412.62	10,204.23	10,816.98	10,909.90	9,521.05	8,709.97	8,193.26	9,060.62	10,256.78	10,745.50	11,023.81	11,023.81
Feb	9,082.72	9,656.34	9,759.96	8,305.92	7,648.06	7,070.96	7,626.85	7,535.47	8,841.09	8,863.32	9,401.69	
Mar	12,008.02	11,809.91	10,632.01	9,199.49	8,507.43	8,415.64	9,077.68	8,584.21	9,868.75	9,948.73	10,323.38	
Apr	13,461.21	11,212.42	10,269.20	10,373.68	10,330.16	8,796.55	8,669.38	8,853.20	10,547.05	11,502.64	10,435.03	
May	11,285.47	12,081.01	11,825.87	11,536.19	10,632.45	10,095.92	9,103.14	10,209.07	11,650.66	12,115.93	11,072.11	
Jun	12,956.37	12,998.70	11,246.95	11,665.08	9,908.25	10,776.39	9,977.93	10,055.20	11,361.23	11,396.48	11,801.87	
Jul	12,118.43	11,142.45	11,818.05	11,885.87	11,457.12	10,613.73	8,978.65	9,650.28	11,654.85	12,347.13	12,735.71	
Aug	12,195.33	12,835.18	12,154.61	10,442.51	9,504.43	9,308.83	10,088.70	10,065.10	12,294.98	11,546.06	12,215.33	
Sep	11,601.53	12,916.11	10,816.64	10,090.83	9,830.16	9,562.86	9,024.18	9,569.14	10,565.45	10,976.99	11,953.94	
Oct	11,516.97	11,226.64	11,417.38	11,022.28	10,320.11	9,101.82	8,877.85	9,696.31	11,969.58	11,766.79	11,767.72	
Nov	11,829.98	12,497.05	12,158.28	9,445.19	8,872.00	9,051.83	9,570.73	10,864.04	11,660.40	10,886.55	11,436.23	
Dec	11,949.55	11,610.69	10,727.36	10,068.41	10,111.75	9,176.60	9,434.03	9,925.42	10,606.23	11,058.63		
<b>Total Tons YTD</b>	<b>140,418.20</b>	<b>140,190.73</b>	<b>133,643.29</b>	<b>124,945.35</b>	<b>116,642.97</b>	<b>110,681.10</b>	<b>108,622.38</b>	<b>114,068.06</b>	<b>131,277.05</b>	<b>133,154.75</b>	<b>124,166.82</b>	<b>102%</b>
<b>% of WSDA Benchmark Annual Tonnage (115,284)</b>	<b>122%</b>	<b>122%</b>	<b>116%</b>	<b>108%</b>	<b>101%</b>	<b>96%</b>	<b>94%</b>	<b>99%</b>	<b>114%</b>	<b>116%</b>		

### HRRR MSW Program Fee

	Calendar 2010	Calendar 2011	Calendar 2012	Calendar 2013	Calendar 2014	Calendar 2015	Calendar 2016	Calendar 2017	Calendar 2018	Calendar 2019	Calendar 2020	
Program Fee Earned YTD	\$96,888.56	\$107,946.86	\$102,905.33	\$98,706.83	\$94,480.81	\$110,681.10	\$108,622.38	\$114,068.06	\$131,277.05	\$201,736.89	\$248,333.63	
Program Fee Pd To HRRR YTD	\$92,369.68	\$107,522.00	\$102,905.00	\$98,707.00	\$94,481.00	\$111,729.59	\$110,343.05	\$102,954.28	\$131,277.05	\$201,736.89	\$248,333.63	
	<b>FY 2009-10</b>	<b>FY 2010-11</b>	<b>FY 2011-12</b>	<b>FY 2012-13</b>	<b>FY 2013-14</b>	<b>FY 2014-15</b>	<b>FY 2015-16</b>	<b>FY 2016-17</b>	<b>FY 2017-18</b>	<b>FY 2018-19</b>	<b>FY 2019-20</b>	
Tonnage by FY								110271.91	122295.85	133324.09	132640.03	<b>Tonnage</b>
Program Fee Pd To HRRR FYTD	\$106,495.55	\$98,776.52	\$105,385.08	\$102,173.39	\$99,288.01	\$106,342.85	\$109,465.26	\$110,088.23	\$122,403.88	\$133,322.83	\$265,280.06	<b>Revenue</b>

### HRRR - MSW Tonnage Year-to-Date

	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	YTD as % of Prior YTD
Jan	10,412.62	10,204.23	10,816.98	10,909.90	9,521.05	8,709.97	8,193.26	9,060.62	10,256.78	10,745.50	11,023.81	
Feb	9,082.72	9,656.34	9,759.96	8,305.92	7,648.06	7,070.96	7,626.85	7,535.47	8,841.09	8,863.32	9,401.69	
Mar	12,008.02	11,809.91	10,632.01	9,199.49	8,507.43	8,415.64	9,077.68	8,584.21	9,868.75	9,948.73	10,323.38	
Apr	13,461.21	11,212.42	10,269.20	10,373.68	10,330.16	8,796.55	8,669.38	8,853.20	10,547.05	11,502.64	10,435.03	
May	11,285.47	12,081.01	11,825.87	11,536.19	10,632.45	10,095.92	9,103.14	10,209.07	11,650.66	12,115.93	11,072.11	
Jun	12,956.37	12,998.70	11,246.95	11,665.08	9,908.25	10,776.39	9,977.93	10,055.20	11,361.23	11,396.48	11,801.87	
Jul	12,118.43	11,142.45	11,818.05	11,885.87	11,457.12	10,613.73	8,978.65	9,650.28	11,654.85	12,347.13	12,735.71	
Aug	12,195.33	12,835.18	12,154.61	10,442.51	9,504.43	9,308.83	10,088.70	10,065.10	12,294.98	11,546.06	12,215.33	
Sep	11,601.53	12,916.11	10,816.64	10,090.83	9,830.16	9,562.86	9,024.18	9,569.14	10,565.45	10,976.99	11,953.94	
Oct	11,516.97	11,226.64	11,417.38	11,022.28	10,320.11	9,101.82	8,877.85	9,696.31	11,969.58	11,766.79	11,767.72	
Nov	11,829.98	12,497.05	12,158.28	9,445.19	8,872.00	9,051.83	9,570.73	10,864.04	11,660.40	10,886.55	11,436.23	
Dec	11,949.55	11,610.69	10,727.36	10,068.41	10,111.75	9,176.60	9,434.03	9,925.42	10,606.23	11,058.63		
<b>Total Tons YTD</b>	<b>140,418.20</b>	<b>140,190.73</b>	<b>133,643.29</b>	<b>124,945.35</b>	<b>116,642.97</b>	<b>110,681.10</b>	<b>108,622.38</b>	<b>114,068.06</b>	<b>131,277.05</b>	<b>133,154.75</b>	<b>124,166.82</b>	<b>102%</b>
<b>% of WSDA Benchmark Tonnage (115,284)</b>												





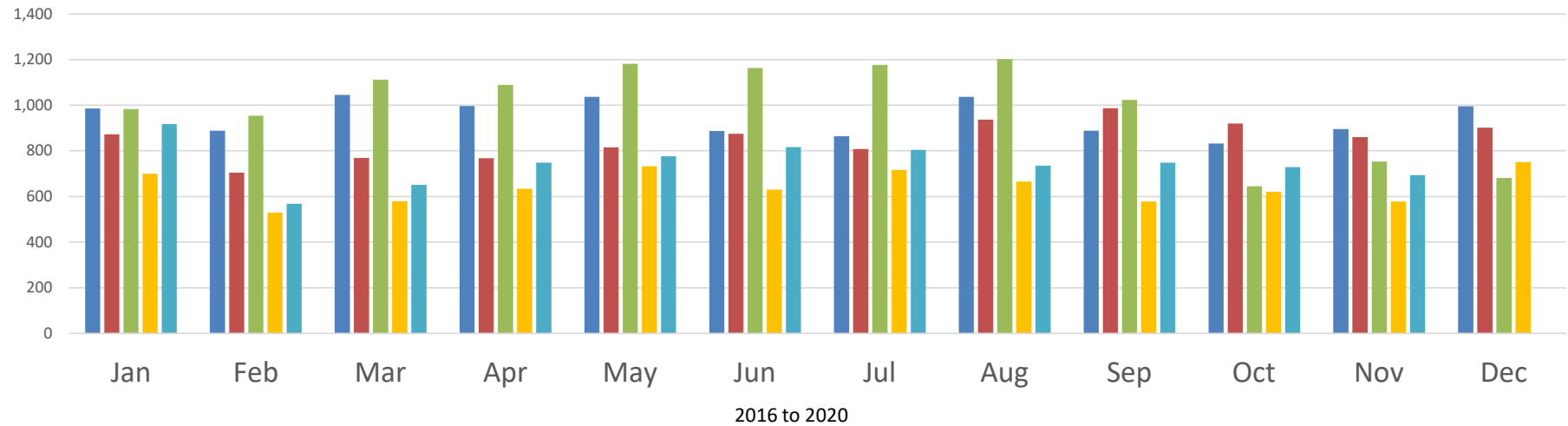
### Transfer Station Tonnage By Month/Year

	Newtown TS				Danbury TS				Ridgefield TS				Total HRRR TS			
	2017	2018	2019	2020	2017	2018	2019	2020	2017	2018	2019	2020	2017	2018	2019	2020
January	950.27	982.59	1,002.41	1,062.43	7,462.73	8,405.51	8,971.96	8,913.98	647.62	868.68	771.13	1,047.40	9,060.62	10,256.78	10,745.50	11,023.81
February	800.21	855.90	844.91	988.81	6,090.42	7,334.32	7,468.26	7,495.54	644.79	650.87	550.15	917.34	7,535.42	8,841.09	8,863.32	9,401.69
March	870.68	906.25	945.78	1,104.84	7,013.76	8,198.48	8,235.01	8,080.47	699.77	764.02	767.94	1,138.07	8,584.21	9,868.75	9,948.73	10,323.38
April	961.32	978.02	1,053.47	1,141.71	7,011.09	8,663.24	9,493.57	8,131.64	880.79	905.79	955.60	1,161.68	8,853.20	10,547.05	11,502.64	10,435.03
May	1,079.50	1,012.80	1,133.62	1,236.19	8,231.91	9,774.37	9,960.55	8,520.04	897.66	863.49	1,021.76	1,315.88	10,209.07	11,650.66	12,115.93	11,072.11
June	1,094.92	1,144.93	1,126.44	1,434.47	7,970.15	9,238.29	9,203.35	9,049.49	990.13	978.01	1,066.69	1,317.91	10,055.20	11,361.23	11,396.48	11,801.87
July	1,039.78	1,103.59	1,179.01	1,344.77	7,693.25	9,728.22	10,199.80	10,137.14	917.25	823.04	968.32	1,253.80	9,650.28	11,654.85	12,347.13	12,735.71
August	1,078.03	1,103.31	1,134.35	1,237.91	8,056.60	10,341.61	9,573.29	9,783.25	930.47	850.06	838.42	1,194.17	10,065.10	12,294.98	11,546.06	12,215.33
September	1,012.80	1,022.77	1,024.63	1,210.42	7,692.85	8,747.68	9,077.36	9,523.96	863.49	794.00	875.00	1,219.56	9,569.14	10,564.45	10,976.99	11,953.94
October	976.78	1,101.46	1,054.20	1,234.87	7,796.18	9,916.47	9,825.84	9,372.38	923.35	951.65	886.75	1,160.47	9,696.31	11,969.58	11,766.79	11,767.72
November	1,071.60	1,065.06	1,117.60	1,099.47	8,828.15	9,708.42	8,940.54	9,097.01	964.29	886.92	828.41	1,239.75	10,864.04	11,660.40	10,886.55	11,436.23
December	993.69	1,025.22	1,130.90		8,141.78	8,733.40	8,942.84		789.95	847.61	984.89		9,925.42	10,606.23	11,058.63	-
Total YTD	11,929.58	12,301.90	12,747.32	13,095.89	91,988.87	108,790.01	109,892.37	98,104.90	10,149.56	10,184.14	10,515.06	12,966.03	114,068.01	131,276.05	133,154.75	124,166.82
% of Total Tonnage	10.5%	9.4%	9.6%	10.5%	80.6%	82.9%	82.5%	79.0%	8.9%	7.8%	7.9%	10.4%	100.0%	100.0%	100.0%	100.0%
	Newtown TS				Danbury TS				Ridgefield TS				Total HRRR TS			

### HRRA/Regional Recycling Facility Tonnage

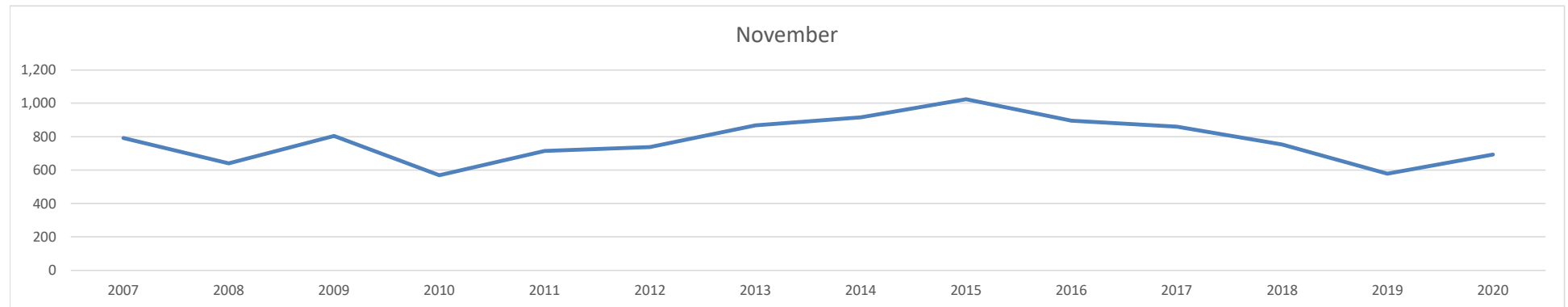
	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2020 YTD as % of 2019 YTD
Jan	681	746	625	771	554	729	702	944	971	986	872	983	700	918	
Feb	534	630	566	616	537	656	566	756	759	888	705	954	529	568	
Mar	692	675	593	742	558	715	652	869	901	1,045	769	1,112	579	651	
Apr	697	604	606	727	518	693	600	995	988	997	768	1,089	634	748	
May	738	672	729	674	584	742	692	1,089	1,026	1,037	815	1,181	732	777	
Jun	709	637	832	607	640	790	647	1,010	1,059	887	875	1,163	630	816	
Jul	681	660	823	543	616	745	975	1,043	1,070	864	808	1,176	717	805	
Aug	755	609	753	562	721	811	980	975	979	1,037	937	1,202	666	734	
Sep	638	639	763	563	708	691	998	1,050	1,016	888	986	1,023	578	748	
Oct	746	639	742	540	656	684	956	1,043	949	832	920	645	620	729	
Nov	791	640	804	569	715	737	868	915	1,025	895	861	754	578	693	
Dec	775	837	866	653	801	677	982	1,180	1,229	995	901	681	751		
<b>Total Tons YTD</b>	<b>8,437</b>	<b>7,988</b>	<b>8,702</b>	<b>7,567</b>	<b>7,608</b>	<b>8,670</b>	<b>9,618</b>	<b>11,869</b>	<b>11,972</b>	<b>11,351</b>	<b>10,217</b>	<b>11,964</b>	<b>7,715</b>	<b>8,186</b>	<b>118%</b>

### 2016 - 2017 - 2018 - 2019 - 2020 Recycling Tonnage



HRR/Regional Recycling Facility Tonnage																
	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2020 YTD as % of 2019 YTD	
Jan	681	746	625	771	554	729	702	944	971	986	872	983	700	918		Jan
Feb	534	630	566	616	537	656	566	756	759	888	705	954	529	568		Feb
Mar	692	675	593	742	558	715	652	869	901	1,045	769	1,112	579	651		Mar
Apr	697	604	606	727	518	693	600	995	988	997	768	1,089	634	748		Apr
May	738	672	729	674	584	742	692	1,089	1,026	1,037	815	1,181	732	777		May
Jun	709	637	832	607	640	790	647	1,010	1,059	887	875	1,163	630	816		Jun
Jul	681	660	823	543	616	745	975	1,043	1,070	864	808	1,176	717	805		Jul
Aug	755	609	753	562	721	811	980	975	979	1,037	937	1,202	666	734		Aug
Sep	638	639	763	563	708	691	998	1,050	1,016	888	986	1,023	578	748		Sep
Oct	746	639	742	540	656	684	956	1,043	949	832	920	645	620	729		Oct
Nov	791	640	804	569	715	737	868	915	1,025	895	861	754	578	693		Nov
Dec	775	837	866	653	801	677	982	1,180	1,229	995	901	681	751			Dec
<b>Total Tons YTD</b>	<b>8,437</b>	<b>7,988</b>	<b>8,702</b>	<b>7,567</b>	<b>7,608</b>	<b>8,670</b>	<b>9,618</b>	<b>11,869</b>	<b>11,972</b>	<b>11,351</b>	<b>10,217</b>	<b>11,964</b>	<b>7,715</b>	<b>8,187</b>	<b>118%</b>	<b>YTD</b>

	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	FY YTD
Jan	681	746	625	771	554	729	702	944	971	986	872	983	700	918	131.13%
Feb	534	630	566	616	537	656	566	756	759	888	705	954	529	568	107.33%
Mar	692	675	593	742	558	715	652	869	901	1,045	769	1,112	579	651	112.51%
Apr	697	604	606	727	518	693	600	995	988	997	768	1,089	634	748	117.96%
May	738	672	729	674	584	742	692	1,089	1,026	1,037	815	1,181	732	777	106.03%
Jun	709	637	832	607	640	790	647	1,010	1,059	887	875	1,163	630	816	129.46%
Jul	681	660	823	543	616	745	975	1,043	1,070	864	808	1,176	717	805	112.27%
Aug	755	609	753	562	721	811	980	975	979	1,037	937	1,202	666	734	110.33%
Sep	638	639	763	563	708	691	998	1,050	1,016	888	986	1,023	578	748	129.37%
Oct	746	639	742	540	656	684	956	1,043	949	832	920	645	620	729	117.46%
Nov	791	640	804	569	715	737	868	915	1,025	895	861	754	578	693	119.91%
Dec															
<b>Total Tons YTD</b>	<b>7,662</b>	<b>7,151</b>	<b>7,836</b>	<b>6,914</b>	<b>6,807</b>	<b>7,993</b>	<b>8,636</b>	<b>10,689</b>	<b>10,743</b>	<b>10,356</b>	<b>9,316</b>	<b>11,283</b>	<b>6,964</b>	<b>8,187</b>	<b>118%</b>



## HRRR Recycling Rebate History

	CY 2016		CY 2017		2017 YTD as % of 2016 YTD	CY 2018		2018 YTD as % of 2017 YTD	CY 2019		2019 YTD as % of 2018 YTD	CY 2020		2020 YTD as % of 2019 YTD
	Tonnage	Rebate	Tonnage	Rebate		Tonnage	Rebate		Tonnage	Rebate		Tonnage	Rebate	
Jan	986	\$9,860.00	872	\$4,360.00	-\$5,500.00	983	\$6,144.38	\$1,784.38	700	\$5,247.08	-\$897.30	917.93	\$4,589.65	-\$657.43
Feb	888	\$8,880.00	705	\$3,525.00	-\$5,355.00	954	\$5,963.19	\$2,438.19	529	\$3,967.80	-\$1,995.39	567.82	\$2,839.10	-\$1,128.70
Mar	1,045	\$10,450.00	769	\$3,845.00	-\$6,605.00	1,112	\$6,949.69	\$3,104.69	579	\$4,341.00	-\$2,608.69	651.21	\$3,256.05	-\$1,084.95
Apr	997	\$4,985.00	768	\$3,841.10	-\$1,143.90	1,089	\$6,808.41	\$2,967.31	634	\$4,755.60	-\$2,052.81	747.95	\$3,739.75	-\$1,015.85
May	1,037	\$5,185.00	815	\$4,075.00	-\$1,110.00	1,181	\$7,382.31	\$3,307.31	732	\$5,492.55	-\$1,889.76	776.50	\$3,882.50	-\$1,610.05
Jun	887	\$4,435.00	875	\$4,375.00	-\$60.00	1,163	\$7,270.88	\$2,895.88	630	\$4,725.00	-\$2,545.88	816.18	\$4,080.90	-\$644.10
Jul	864	\$4,320.00	808	\$6,060.00	\$1,740.00	1,176	\$8,822.93	\$2,762.93	717	\$3,585.00	-\$5,237.93	805.00	\$4,025.00	\$440.00
Aug	1,037	\$5,185.00	937	\$7,027.50	\$1,842.50	1,202	\$9,017.40	\$1,989.90	666	\$3,328.25	-\$5,689.15	734.39	\$3,671.95	\$343.70
Sep	888	\$4,440.00	986	\$7,395.68	\$2,955.68	1,023	\$7,671.23	\$275.55	578	\$2,890.50	-\$4,780.73	747.88	\$3,739.40	\$848.90
Oct	832	\$4,160.00	920	\$6,902.48	\$2,742.48	645	\$4,837.65	-\$2,064.83	620	\$3,101.65	-\$1,736.00	728.62	\$3,643.10	\$541.45
Nov	895	\$4,475.00	861	\$5,378.44	\$903.44	754	\$5,651.48	\$273.04	578	\$2,890.50	-\$2,760.98	693.22	\$3,466.10	\$575.60
Dec	995	\$4,975.00	901	\$5,632.00	\$657.00	681	\$5,108.70	-\$523.30	751	\$3,756.20	-\$1,352.50			
	11,351	\$71,350.00	10,217	\$62,417.19	-\$8,932.81	11,964	\$81,628.22	\$19,211.03	7,714	\$48,081.13	64%	8186.70	\$40,933.50	-\$3,391.43

	FY 2016-2017	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	
July	864	808	1,176	717	805	
August	1,037	937	1,202	666	734	
September	888	986	1,023	578	748	
October	832	920	645	620	729	
November	895	861	754	578	693	
December	995	901	681	751		
January	872	983	700	918		
February	705	954	529	568		
March	769	1,112	579	651		
April	768	1,089	634	748		
May	815	1,181	732	777		
June	875	1,163	630	816		
	10,315	11,896	9,285	8,388	3,709	tons
	\$51,576.10	\$78,914.93	\$69,638.40	\$41,940.05	\$18,545.55	rebate
						117%
						117%

Ewaste Tonnage													
2019	Bethel	Bridgewater	Danbury	Kent	New Fairfield	New Milford	Newtown	Redding	Ridgefield	Weston	Total '19	Total '18	Total '17
January	2.91	1.50	7.73	0.00	2.02	4.34	9.29	2.41	5.71	2.34	38.25	38.24	36.65
February	2.27	0.00	3.79	0.00	1.65	2.63	8.21	0.76	1.62	2.54	20.93	31.63	35.83
March	1.87	0.96	5.07	2.50	2.35	4.59	5.98	1.09	5.95	1.34	30.36	32.05	29.71
April	2.48	0.00	3.38	2.14	2.83	4.28	10.35	1.12	5.10	2.28	31.67	32.74	16.50
May	1.95	1.50	6.52	2.54	1.77	5.45	6.62	2.00	7.30	1.74	35.65	43.79	41.07
June	2.14	0.00	5.23	0.00	2.67	4.74	6.70	1.69	5.88	1.88	29.06	42.17	38.31
July	2.73	0.63	8.26	1.92	3.19	5.21	9.86	2.46	5.28	3.04	39.53	45.13	49.88
August	1.55	0.59	7.10	2.33	2.27	4.32	6.58	1.27	6.94	1.89	32.95	36.46	40.22
September	2.34	0.00	8.38	2.00	2.03	3.68	8.11	1.60	5.08	2.20	33.22	31.25	41.69
October	1.92	0.68	4.74	0.00	2.79	5.03	5.83	0.80	6.71	0.73	28.49	39.95	40.81
November	1.80	0.65	5.36	2.61	1.70	2.52	6.43	2.05	3.56	3.51	26.69	31.43	33.77
December	2.01	0.52	6.22	0.00	2.31	4.60	8.43	1.44	4.97	1.06	30.50	38.35	35.43
Total Tons	25.97	7.03	71.79	16.05	27.58	51.39	92.37	18.69	64.08	24.53	399.49	443.19	439.87
	BE	BW	DA	KE	NF	NM	NE	RE	RI	WE	Total '19	Total '18	Total '17

2020	Bethel	Bridgewater	Danbury	Kent	New Fairfield	New Milford	Newtown	Redding	Ridgefield	Weston	Total '20	Total '19	Total '18
January	2.23	0.00	6.10	0.96	4.22	3.42	6.59	2.16	7.83	2.31	35.81	38.25	38.24
February	2.48	0.80	4.87	0.00	1.65	2.54	6.65	1.33	4.94	2.32	27.57	20.93	31.63
March	1.94	0.70	4.89	0.00	2.26	4.06	9.67	0.77	3.96	2.42	30.66	30.36	32.05
	6.64	1.50	15.86	0.96	8.12	10.02	22.91	4.26	16.73	7.04	94.05	89.53	101.92
April	1.59	0.73	3.75	0.00	2.33	1.89	8.54	0.00	3.86	1.75	24.43	31.67	32.74
May	2.39	0.00	4.98	0.00	3.86	1.94	8.02	0.00	5.52	2.39	29.09	35.65	43.79
June	2.63	0.79	8.47	0.00	3.59	3.01	9.26	1.61	4.54	3.28	37.18	29.06	42.17
	6.61	1.52	17.19	0.00	9.78	6.83	25.82	1.61	13.92	7.42	90.70	96.38	118.70
July	2.03	0.00	5.94	0.00	2.83	2.83	6.98	1.99	7.13	2.90	32.61	39.53	45.13
August	2.64	0.99	6.13	0.94	3.14	3.15	10.05	2.18	4.85	1.79	35.84	32.95	36.46
September	1.32	0.72	6.33	1.85	3.51	3.31	7.28	1.63	5.09	3.75	34.79	33.22	31.25
	5.99	1.71	18.40	2.79	9.47	9.29	24.31	5.80	17.07	8.43	103.25	105.70	112.84
October	1.27	0.45	5.39	1.30	3.41	5.33	7.13	0.96	7.20	2.63	35.08	28.49	39.95
November	2.31	0.00	6.79	0.00	3.10	2.79	9.11	2.23	6.09	2.10	34.52	26.69	31.43
December												30.50	38.35
Total Tons											357.59	668.91	776.65
	BE	BW	DA	KE	NF	NM	NE	RE	RI	WE	Total '20	Total '19	Total '18

## GLASS ONLY TONS

Dates	Bethel	Redding	Ridgefield	Newtown	
March 19	2.88	0	10.30	0	13.18
April 19	2.34	4.17	8.83	0	15.34
May 19	2.50	4.42	8.44	0	15.36
June 19	2.97	0	3.80	0	6.77
July 19	2.34	4.42	9.10	0	15.86
Aug 19	2.99	2.12	9.55	0	14.66
Sept 19	3.43	3.82	8.67	0	15.92
Oct 19	2.82	4.74	4.81	0	12.37
Nov 19	2.92	4.74	10.06	0	17.72
Dec 19	3.01	0	8.93	0	11.94
Jan 20	3.16	6.3	10.85	0	20.31
Feb 20	0	0	3.36	0	3.36
March 20	3.7	0	7.82	0	11.52
April 20		10.24	6.2	0	16.44
May 20	4.07	0	10.28	0	14.35
June 20	3.67	9.81	10.26	0	23.74
July 20	3.34	0	9.41	6.38	12.75
Aug 20	4.09	0	8.59	9.81	22.49
Sept 20	3.72	0	10.03	13.26	27.01
Oct 20	3.46	11.33	9.76	12.17	
Nov 20	3.74	0	10.91	9.41	
Dec 20					
	61.15	66.11	179.96	51.03	358.25

## GLASS ONLY TONS

	Bethel	Redding	Ridgefield	Newtown	Totals	
Glass	61.15	66.11	179.96	51.03	358.25	24%
Mixed	196.67	259.46	465.75	204.41	1126.29	76%
	257.82	325.57	645.71	255.44	1484.54	100%
	24%	20%	28%	20%		
	23%					
	1484.54	Total tons of all material				
	24%	Glass percentage				
	76%	Mix Recycling percentage				

## MIXED RECYCLING TONS

Dates	Bethel	Redding	Ridgefield	Newtown	Mixed Recycling
March 19	9.13	11.65	21.96	0.00	42.74
April 19	9.26	14.43	22.58	0.00	46.27
May 19	8.48	17.19	27.16	0.00	52.83
June 19	8.68	13.62	21.53	0.00	43.83
July 19	10.44	13.09	20.46	0.00	43.99
Aug 19	8.57	15.36	19.98	0.00	43.91
Sept 19	10.75	12.42	29.69	0.00	52.86
Oct 19	7.83	12.59	26.43	0.00	46.85
Nov 19	9.11	11.58	25.06	0.00	45.75
Dec 19	10.3	17.79	21.93	0.00	50.02
Jan 20	8.27	8.51	18.34	0.00	35.12
Feb 20	7.66	8.74	23.25	0.00	39.65
March 20	8.71	5.59	16.66	0.00	30.96
April 20	8.34	10.57	25.18	0.00	44.09
May 20	9.98	9.74	16.7	0.00	36.42
June 20	9.01	15.85	19.6	0.00	44.46
July 20	8.11	13.39	25.6	43.34	90.44
Aug 20	9.05	8.24	14.71	42.7	74.7
Sept 20	8.35	12.78	23.73	39.47	84.33
Oct 20	17.65	15.08	20.04	36.88	89.65
Nov 20	8.99	11.25	25.16	42.02	87.42
Dec 20					
	196.67	259.46	465.75	204.41	1126.29

## MIXED RECYCLING TONS



## **HOUSATONIC RESOURCES RECOVERY AUTHORITY**

### **MINUTES**

**Monday, September 28, 2020, 10:30 a.m.**

**Via Zoom**

#### **Members or Alternates Present**

Bethel, Matt Knickerbocker	8
Bridgewater, Curtis Read	1
Brookfield, Steve Dunn	7
Danbury, Joel Urice	34
Kent, Jean Speck	1
New Fairfield, Pat Del Monaco	6
Newtown, Fred Hurley	12
New Milford, Suzanne Von Holt	12
Redding, Jeff Hansen	4
Ridgefield, Rudolph Marconi	10
Weston, Chris Spalding	4
	<hr/>
	<b>99</b>

#### **Others Present:**

Sheldon Conn, Brookfield Alternate
Jonathan Luiz, Town of Weston
John Decker, Oak Ridge Waste & Recycling
Eric Fredericksen, All American Waste
Lynn Waller, Public
Jennifer Baum, HRRA
J. Heaton-Jones, HRRA

#### **Members Absent**

Sherman

**Call to Order:** The meeting was called to order via zoom by Chairman M. Knickerbocker at 10:35 a.m. with a quorum of 99 votes present from eleven towns. M. Knickerbocker led everyone in the pledge of allegiance.

**Public Comment:** No public comment

#### **Chairman and Members' Comments:**

The Chairman provided a brief status report on the CT Coalition for Sustainable Materials Management, a project started by CT DEEP Commissioner, Katie Dykes. M. Knickerbocker is co-chairing the program along with Laura Francis, First Selectmen of Durham. M. Knickerbocker thanked J. Heaton-Jones for her involvement and acknowledged J. Heaton-Jones as being recognized in the state of Connecticut as one of the foremost authorities on waste management and recycling and sustainability. M. Knickerbocker is pleased to have J. Heaton-Jones representing the HRRA region on this initiative. There are about 68 towns participating. Meetings will begin the second week of October. M. Knickerbocker thanked all HRRA members who have signed up to participate.

M. Knickerbocker added J. Heaton-Jones is collaborating with CCM on a series of workshops focused on understanding the solid waste and recycling system in Connecticut.

**Director's and Tonnage Report** – J. Heaton-Jones reviewed highlights from the written Directors Report and tonnage reports (Attachment A).

- Hauler Registration & Permitting – J. Heaton Jones expressed challenges faced with registration and permits. There were a handful of haulers who did not register on time, some fighting insurance policies, and some who refuse to submit applications. J. Heaton-Jones recommended adding a future agenda item to review how to handle non-compliant haulers.
- CT Product Stewardship Council – J. Heaton-Jones is currently chairing the CT PSC. The fuel cylinder EPR bill that was introduced last session will be re-introduced in the 2021 and the CT PSC will explore EPR for packaging.
- Next HRRRA Meeting is scheduled for Friday, December 4, 2020.
- J. Heaton-Jones reviewed MSW and Recycling tonnage as of August 31, 2020

R. Marconi requested clarification on the glass data.

### **Administrative Approvals:**

**Minutes: Motion** by S. Dunn, second by H. Rosenthal, to approve the minutes of June 22, 2020 (Attachment B).

**Vote: The motion passed unanimously;** with 99 votes in favor

**Financial Statements: Motion** by J. Urice, second by R. Marconi, to approve the financial statements through August 31, 2020 (Attachment C), as presented. **Vote: The motion passed unanimously;** with 99 votes in favor.

### **Old Business:**

**Recycling market update** – J. Heaton-Jones gave a brief market update. Corrugated cardboard is up from July to August moving from \$55 per ton to \$57 per ton, on average, for Northeast market. Sorted residential paper is up slightly, sorted office paper is up, mixed paper is up. In other commodities, plastic (natural high-density polyethylene) from curbside collections was up 22% in August, trading at an average of \$.44 per pound compared to \$.36 in July and \$.20 one year prior. National average for sorted aluminum cans is up to \$39.81 from \$39.19. Data is collected from recyclingmarkets.net.

R. Marconi requested that the recycling market updates be included in the agenda packet.

J. Urice commented to ask what events are improving recycling values. J. Heaton-Jones suggested the trends are related to COVID and an increase in online purchases. In addition, to increased domestic markets.

### **Glass program update:**

An agreement between the HRRRA and Oak Ridge has been completed to include collection, transportation, and disposal tip fees. Bridgewater and Newtown are now also collecting glass separately. The program continues to be running smoothly in Redding, Ridgefield and Bethel.

Urban Mining finally has power to their facility and will begin running test in the coming weeks. As HRRRA moves forward with their agreement with Oak Ridge, press releases will run with Hearst Media to prepare residents for the new program. Glass collection bins will be distributed to all HRRRA Transfer Stations.

### **Budget 2020-21**

**Motion** by R. Marconi, second by S. Dunn, to adjust the 2020-21 budget (Attachment D) as presented. **Vote: The motion passed unanimously;** with 99 votes in favor.



**By-Laws:**

**Motion** by M. Knickerbocker, second by J. Speck, to table the By-Laws agenda item. The By-Laws sub-committee will meet and draft a proposal and the Full Authority will schedule a special meeting to discuss just the By-Laws.

**Vote: The motion passed unanimously;** with 99 votes in favor.

**New Business:****Building regional programs to address material management issues**

J. Heaton Jones suggested the region research providing more regional agreements beyond MSW and Recycling. The transfer stations all use different vendors for collection and service. The HRRRA should leverage the number of member municipalities to create stronger contracts for other items such as motor oil, antifreeze, tires, scrap metal etc. In addition to purchasing equipment such as tub grinders for brush that could be shared among municipalities. No motion was taken. Executive Director agreed to work with transfer station operators to research and develop a program to present at the next HRRRA meeting on December 4, 2020.

**Regional Solid Waste & Recycling Agreement, Amendment to Appendix E**

**Motion** by M. Knickerbocker, second by R. Marconi, to enter into executive session inviting all board members and alternates, including HRRRA staff, J. Heaton-Jones, J. Baum, and J. Decker from Oak Ridge Waste and Recycling, for the purpose to review the Regional Solid Waste & Recycling Agreement, Amendment to Appendix E. **Vote: The motion passed unanimously;** with 99 votes in favor.

The Executive Committee entered executive session at 11:18 a.m. and came out of executive session at 11:49 a.m.

**Motion** by J. Speck, second by J. Urice, to approve the Regional Solid Waste & Recycling Agreement, Amendment to Appendix E as presented. **Vote: The motion passed unanimously;** with 99 votes in favor.

**Motion** by J. Speck, second by C. Spalding, to Approve the Glass pilot program agreement as presented. **Vote: The motion passed unanimously;** with 99 votes in favor.

**Adjournment**

**Motion** by S. Dunn, second by R. Marconi, to adjourn the meeting at 11:50 a.m. **The motion passed unanimously;** with 99 votes in favor

Respectfully submitted,  
Jennifer Baum

# Housatonic Resources Recovery Authority

## Balance Sheet

As of November 30, 2020

	Nov 30, 20
<b>ASSETS</b>	
Current Assets	
Checking/Savings	
PayPal (Online Credit Card Payment)	1,778.31
UNION SAVINGS BANK	387,330.10
STIF	16,316.89
VANGUARD	
VANGUARD SHRT TRM INV GR VFSUX	365,680.62
VANGUARD TOTAL BOND MARKET VBTL	186,377.57
Total VANGUARD	552,058.19
Total Checking/Savings	957,483.49
Accounts Receivable	
*ACCOUNTS RECEIVABLE	102,483.56
Total Accounts Receivable	102,483.56
Other Current Assets	
UNDEPOSITED FUNDS	22,469.29
Total Other Current Assets	22,469.29
Total Current Assets	1,082,436.34
<b>TOTAL ASSETS</b>	<b>1,082,436.34</b>
<b>LIABILITIES &amp; EQUITY</b>	
Liabilities	
Current Liabilities	
Accounts Payable	
*ACCOUNTS PAYABLE	-1,459.47
Total Accounts Payable	-1,459.47
Other Current Liabilities	
PAYROLL LIABILITIES	
CT PR TAXES PAYABLE	
CIT	175.80
CT SUI	-107.75
Total CT PR TAXES PAYABLE	68.05
FED PR TAXES PAYABLE	
FICA	512.64
FIT	352.00
MEDICARE TAX	119.86
Total FED PR TAXES PAYABLE	984.50
HEALTH INSURANCE PREMIUMS	-0.05
SEP LIABILITY	2,112.00
PAYROLL LIABILITIES - Other	2,812.40
Total PAYROLL LIABILITIES	5,976.90
Total Other Current Liabilities	5,976.90
Total Current Liabilities	4,517.43
Total Liabilities	4,517.43
Equity	
RETAINED EARNINGS	899,170.25
Net Income	178,748.66
Total Equity	1,077,918.91
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<b>1,082,436.34</b>

## Profit vs Loss As of November 30, 2020

	Jul - Nov 20	Budget	\$ Over Budget	% of Budget
Ordinary Income/Expense				
Income				
GRANTS/DONATIONS	19,000.00	6,000.00	13,000.00	316.67%
HAULER PERMITS				
REGISTRATION/PERMIT PAYPAL FEE	0.61	0.00	0.61	100.0%
CURRENT HAULER PERMITS	51,500.00	50,000.00	1,500.00	103.0%
HAULER PERMIT LATE FEES	5,000.02			
MUNICIPAL HAULER REGISTRATIONS	54,000.00	35,000.00	19,000.00	154.29%
Total HAULER PERMITS	110,500.63	85,000.00	25,500.63	130.0%
HHWDD REIMBURSEMENT				
HHW PARTICIPATING TOWNS	91,884.71	0.00	91,884.71	100.0%
HHWDD REIMBURSEMENT - Other	0.00	70,000.00	-70,000.00	0.0%
Total HHWDD REIMBURSEMENT	91,884.71	70,000.00	21,884.71	131.26%
INTEREST INCOME	5,215.61	0.00	5,215.61	100.0%
MISC INCOME	80.00	0.00	80.00	100.0%
PROGRAM SERVICES FEES				
MSW PROGRAM FEES	120,217.86	111,250.00	8,967.86	108.06%
RECYCLING PROGRAM FEES	18,543.50	16,666.65	1,876.85	111.26%
Total PROGRAM SERVICES FEES	138,761.36	127,916.65	10,844.71	108.48%
Total Income	365,442.31	288,916.65	76,525.66	126.49%
Gross Profit	365,442.31	288,916.65	76,525.66	126.49%
Expense				
CONTINGENCY	0.00	0.00	0.00	0.0%
EDUCATION				
PUBLIC EDUCATION	8,418.98	6,500.00	1,918.98	129.52%
STAFF EDUCATION	404.00	1,500.00	-1,096.00	26.93%
Total EDUCATION	8,822.98	8,000.00	822.98	110.29%
HHW EXPENSE				
HHW TOWN SHARE	49,896.57	105,000.00	-55,103.43	47.52%
HHW HRRRA SHARE	22,611.23	45,000.00	-22,388.77	50.25%
Total HHW EXPENSE	72,507.80	150,000.00	-77,492.20	48.34%
INSURANCE				
SURETY BOND	391.00	450.00	-59.00	86.89%
Total INSURANCE	391.00	450.00	-59.00	86.89%
MISCELLANEOUS				
MISC EXPENSE	458.00	1,000.00	-542.00	45.8%
PAYROLL PROCESSING SERVICE	65.70	67.50	-1.80	97.33%
SERVICE FEES/MEMBERSHIPS	1,700.00	1,700.00	0.00	100.0%
Total MISCELLANEOUS	2,223.70	2,767.50	-543.80	80.35%
OFFICE EXPENSES				

COPY EXPENSE	852.30	1,500.00	-647.70	56.82%
RENT	6,750.00	6,750.00	0.00	100.0%
SUPPLIES	1,246.47	1,900.00	-653.53	65.6%
<b>Total OFFICE EXPENSES</b>	<b>8,848.77</b>	<b>10,150.00</b>	<b>-1,301.23</b>	<b>87.18%</b>
<b>PROFESSIONAL SERVICES</b>				
AUDIT SERVICES	0.00	0.00	0.00	0.0%
CONSULTING SERVICES	0.00	0.00	0.00	0.0%
LEGAL SERVICES	876.00	5,000.00	-4,124.00	17.52%
<b>Total PROFESSIONAL SERVICES</b>	<b>876.00</b>	<b>5,000.00</b>	<b>-4,124.00</b>	<b>17.52%</b>
<b>REIMBURSEMENT FOR COLLECTED FEE</b>				
MUNICIPAL HAULER REG REIMBURSEM	-250.00			
<b>Total REIMBURSEMENT FOR COLLECTED FEE</b>	<b>-250.00</b>			
<b>STAFFING</b>				
DISABILITY INSURANCE	3,941.50	8,700.00	-4,758.50	45.31%
HEALTH INSURANCE	11,345.25	11,345.25	0.00	100.0%
HSA CONTRIBUTION	0.00	0.00	0.00	0.0%
IN LIEU OF MEDICAL INSURANCE	3,333.30	3,333.30	0.00	100.0%
<b>PAYROLL TAXES</b>				
CT PR TAXES	0.00	262.50	-262.50	0.0%
FED PR TAX	5,385.59	5,452.15	-66.56	98.78%
<b>Total PAYROLL TAXES</b>	<b>5,385.59</b>	<b>5,714.65</b>	<b>-329.06</b>	<b>94.24%</b>
<b>PENSION</b>	<b>4,174.50</b>	<b>5,030.40</b>	<b>-855.90</b>	<b>82.99%</b>
<b>SALARIES</b>				
DIRECTOR SALARY	45,833.30	45,833.31	-0.01	100.0%
OTHER SALARIES	21,233.30	21,233.31	-0.01	100.0%
<b>Total SALARIES</b>	<b>67,066.60</b>	<b>67,066.62</b>	<b>-0.02</b>	<b>100.0%</b>
<b>Total STAFFING</b>	<b>95,246.74</b>	<b>101,190.22</b>	<b>-5,943.48</b>	<b>94.13%</b>
<b>TRAVEL/MILEAGE REIMBURSEMENT</b>	<b>440.95</b>	<b>1,770.40</b>	<b>-1,329.45</b>	<b>24.91%</b>
<b>Total Expense</b>	<b>189,107.94</b>	<b>279,328.12</b>	<b>-90,220.18</b>	<b>67.7%</b>
<b>Net Ordinary Income</b>	<b>176,334.37</b>	<b>9,588.53</b>	<b>166,745.84</b>	<b>1,839.01%</b>
<b>Other Income/Expense</b>				
<b>Other Income</b>				
DIVIDENDS	0.00	5,000.00	-5,000.00	0.0%
INVESTMENT GAIN	7,644.54	0.00	7,644.54	100.0%
<b>Total Other Income</b>	<b>7,644.54</b>	<b>5,000.00</b>	<b>2,644.54</b>	<b>152.89%</b>
<b>Other Expense</b>				
INVESTMENT LOSS	4,839.25	0.00	4,839.25	100.0%
<b>Total Other Expense</b>	<b>4,839.25</b>	<b>0.00</b>	<b>4,839.25</b>	<b>100.0%</b>
<b>Net Other Income</b>	<b>2,805.29</b>	<b>5,000.00</b>	<b>-2,194.71</b>	<b>56.11%</b>
<b>Net Income</b>	<b>179,139.66</b>	<b>14,588.53</b>	<b>164,551.13</b>	<b>1,227.95%</b>

## Profit vs Loss Fiscal Year End

	Jul '20 - Jun 21	Budget	\$ Over Budget	% of Budget
<b>Ordinary Income/Expense</b>				
<b>Income</b>				
GRANTS/DONATIONS	19,000.00	58,000.00	-39,000.00	32.76%
<b>HAULER PERMITS</b>				
REGISTRATION/PERMIT PAYPAL FEE	0.61	0.00	0.61	100.0%
CURRENT HAULER PERMITS	51,500.00	50,000.00	1,500.00	103.0%
HAULER PERMIT LATE FEES	7,386.03	0.00	7,386.03	100.0%
MUNICIPAL HAULER REGISTRATIONS	54,250.00	35,000.00	19,250.00	155.0%
<b>Total HAULER PERMITS</b>	<b>113,136.64</b>	<b>85,000.00</b>	<b>28,136.64</b>	<b>133.1%</b>
<b>HHWDD REIMBURSEMENT</b>				
HHW PARTICIPATING TOWNS	91,884.71	0.00	91,884.71	100.0%
HHWDD REIMBURSEMENT - Other	0.00	175,000.00	-175,000.00	0.0%
<b>Total HHWDD REIMBURSEMENT</b>	<b>91,884.71</b>	<b>175,000.00</b>	<b>-83,115.29</b>	<b>52.51%</b>
INTEREST INCOME	5,215.61	0.00	5,215.61	100.0%
MISC INCOME	80.00	0.00	80.00	100.0%
<b>PROGRAM SERVICES FEES</b>				
MSW PROGRAM FEES	120,217.86	267,000.00	-146,782.14	45.03%
RECYCLING PROGRAM FEES	18,543.50	40,000.00	-21,456.50	46.36%
<b>Total PROGRAM SERVICES FEES</b>	<b>138,761.36</b>	<b>307,000.00</b>	<b>-168,238.64</b>	<b>45.2%</b>
<b>Total Income</b>	<b>368,078.32</b>	<b>625,000.00</b>	<b>-256,921.68</b>	<b>58.89%</b>
<b>Gross Profit</b>				
<b>Gross Profit</b>	<b>368,078.32</b>	<b>625,000.00</b>	<b>-256,921.68</b>	<b>58.89%</b>
<b>Expense</b>				
CONTINGENCY	0.00	20,000.00	-20,000.00	0.0%
<b>EDUCATION</b>				
PUBLIC EDUCATION	8,461.48	35,000.00	-26,538.52	24.18%
STAFF EDUCATION	404.00	4,500.00	-4,096.00	8.98%
<b>Total EDUCATION</b>	<b>8,865.48</b>	<b>39,500.00</b>	<b>-30,634.52</b>	<b>22.44%</b>
<b>HHW EXPENSE</b>				
HHW TOWN SHARE	49,896.57	175,000.00	-125,103.43	28.51%
HHW HRRA SHARE	22,611.23	75,000.00	-52,388.77	30.15%
<b>Total HHW EXPENSE</b>	<b>72,507.80</b>	<b>250,000.00</b>	<b>-177,492.20</b>	<b>29.0%</b>
<b>INSURANCE</b>				
ERRORS & OMISSIONS	0.00	5,725.00	-5,725.00	0.0%
GENERAL LIABILITY	0.00	1,400.00	-1,400.00	0.0%
SURETY BOND	391.00	450.00	-59.00	86.89%
WORKERS COMP	0.00	550.00	-550.00	0.0%
<b>Total INSURANCE</b>	<b>391.00</b>	<b>8,125.00</b>	<b>-7,734.00</b>	<b>4.81%</b>
<b>MISCELLANEOUS</b>				
MISC EXPENSE	458.00	2,500.00	-2,042.00	18.32%
PAYROLL PROCESSING SERVICE	78.70	800.00	-721.30	9.84%
SERVICE FEES/MEMBERSHIPS	4,700.00	4,000.00	700.00	117.5%

Total MISCELLANEOUS	5,236.70	7,300.00	-2,063.30	71.74%
OFFICE EXPENSES				
COPY EXPENSE	852.30	3,500.00	-2,647.70	24.35%
RENT	14,850.00	16,200.00	-1,350.00	91.67%
SUPPLIES	1,301.47	3,800.00	-2,498.53	34.25%
Total OFFICE EXPENSES	17,003.77	23,500.00	-6,496.23	72.36%
PROFESSIONAL SERVICES				
AUDIT SERVICES	0.00	6,550.00	-6,550.00	0.0%
CONSULTING SERVICES	0.00	1,800.00	-1,800.00	0.0%
LEGAL SERVICES	1,679.00	20,000.00	-18,321.00	8.4%
Total PROFESSIONAL SERVICES	1,679.00	28,350.00	-26,671.00	5.92%
REIMBURSEMENT FOR COLLECTED FEE				
MUNICIPAL HAULER REG REIMBURSEM	1,100.00			
Total REIMBURSEMENT FOR COLLECTED FEE	1,100.00			
STAFFING				
DISABILITY INSURANCE	3,941.50	8,700.00	-4,758.50	45.31%
HEALTH INSURANCE	11,345.25	27,228.67	-15,883.42	41.67%
HSA CONTRIBUTION	0.00	0.00	0.00	0.0%
IN LIEU OF MEDICAL INSURANCE	3,999.96	8,000.00	-4,000.04	50.0%
PAYROLL TAXES				
CT PR TAXES	0.00	630.00	-630.00	0.0%
FED PR TAX	6,462.70	13,085.16	-6,622.46	49.39%
Total PAYROLL TAXES	6,462.70	13,715.16	-7,252.46	47.12%
PENSION	5,230.50	12,073.00	-6,842.50	43.32%
SALARIES				
DIRECTOR SALARY	54,999.96	110,000.00	-55,000.04	50.0%
OTHER SALARIES	25,479.96	50,960.00	-25,480.04	50.0%
Total SALARIES	80,479.92	160,960.00	-80,480.08	50.0%
Total STAFFING	111,459.83	230,676.83	-119,217.00	48.32%
TRAVEL/MILEAGE REIMBURSEMENT	594.07	4,249.00	-3,654.93	13.98%
Total Expense	218,837.65	611,700.83	-392,863.18	35.78%
Net Ordinary Income	149,240.67	13,299.17	135,941.50	1,122.18%
Other Income/Expense				
Other Income				
DIVIDENDS	0.00	12,000.00	-12,000.00	0.0%
INVESTMENT GAIN	7,644.54	0.00	7,644.54	100.0%
Total Other Income	7,644.54	12,000.00	-4,355.46	63.71%
Other Expense				
INVESTMENT LOSS	4,839.25	0.00	4,839.25	100.0%
Total Other Expense	4,839.25	0.00	4,839.25	100.0%
Net Other Income	2,805.29	12,000.00	-9,194.71	23.38%
Net Income	152,045.96	25,299.17	126,746.79	600.99%

## MARKET ANALYSIS – November 2020 Resource Recycling - Source: RecyclingMarkets.net

**Natural HDPE plastic values hit record high** by RecyclingMarkets.net Staff

The price of natural high-density polyethylene (HOPE) bales recently surpassed 61 cents per pound for the first time. Meanwhile, the average mixed-paper price notched upward by several dollars.

The following prices reflect values seen on the Secondary Materials Pricing Index on RecyclingMarkets.net in mid-October 2020.

**Natural HDPE** from curbside collection programs reached a national average of 61.63 cents per pound, compared with 55.13 cents in September and 46.38 cents one year ago. **October's price represents an all-time high, surpassing** the previous average high of 60.28 cents set in January 2020.

The national average price of **color HDPE also increased** from September to October. In mid-October, it was trading at 14.59 cents per pound, compared with 8.63 cents in September, representing a boost of 69%. In some regions, however, buyers were paying as much as 18.50 cents for the plastic.

Meanwhile, **mixed paper (PS 54) was up as well**, reaching an average price of \$23 per ton, compared with \$18 per ton in September.

The national average price for **corrugated containers (PS 11) was down marginally** in October, at an average \$59 per ton, compared to \$60 per ton in September. The OCC grade was trading for around \$27 per ton one year ago.

**Sorted residential papers (PS 56) were up again**, however, averaging \$40 per ton, compared with \$38 per ton in September and \$10 per ton one year ago.

**Sorted office papers (PS 37)** dropped again and are now trading at \$86 per ton, compared with an average of \$91 per ton in September.

Looking at other materials, the national average price for sorted, baled **aluminum cans remained unchanged** month-to-month at 45.75 cents per pound. This grade traded at 51.56 cents one year ago.

Sorted, baled **steel cans also remain unchanged** at \$78.44 per ton. They were averaging \$94.69 per ton one year ago.

The national average price of **PET beverage bottles and jars dropped slightly**. The price in mid-October was 6.17 cents per pound, compared with 6.50 cents per pound in September. This compares with 9.80 cents per pound one year ago.

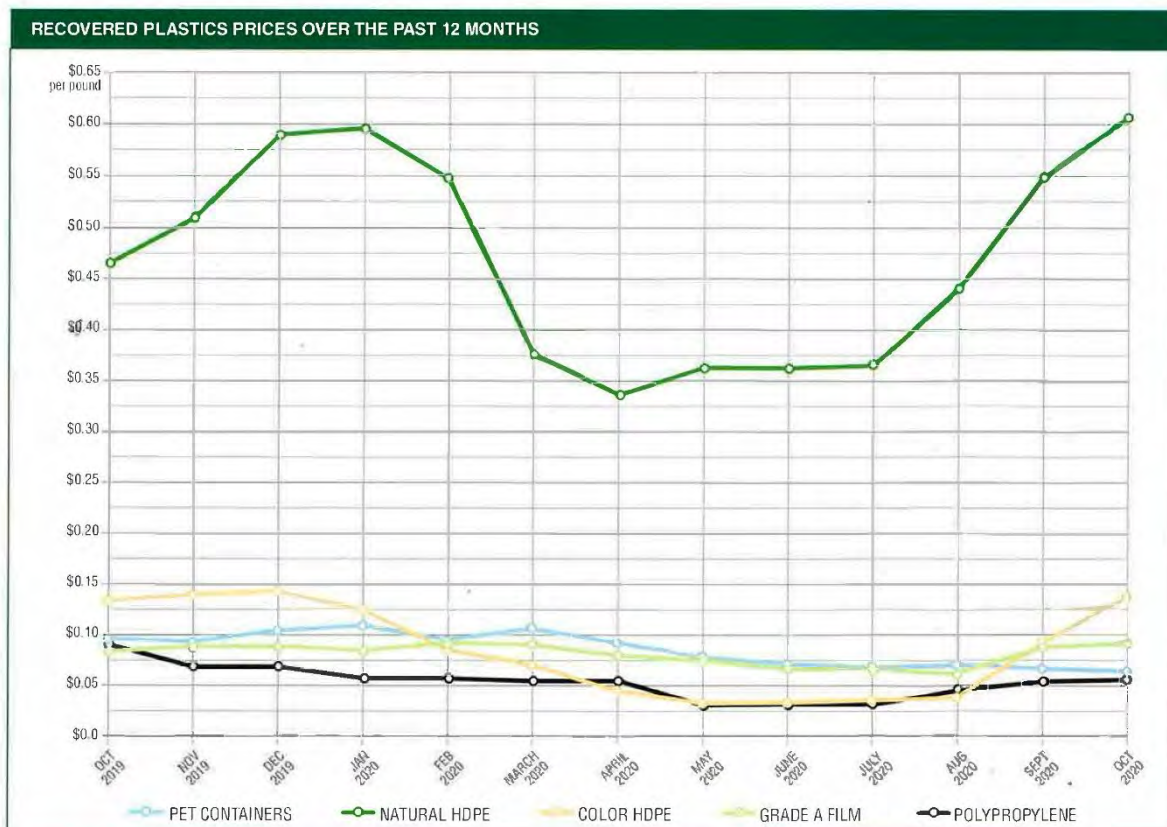
The price of **polypropylene moved up slightly** to 5.38 cents per pound, compared with 5.25 cents in September and 8.75 cents one year ago.

The national **average price of Grade A film was up 5%** to 8.38 cents, compared with 8.00 cents per pound in September.

**Grade B film** was at 3.38 cents per pound, compared to 3.50 cents a month prior.

**Grade C film remains unchanged** at 1.06 cents.

These prices are as reported on the Secondary Materials Pricing (SMP) Index. This pricing represents what is being paid for post-consumer recyclable materials in a sorted, baled format, picked up at most major recycling centers.



Housatonic Resources Recovery Authority

**Financial Statements with Independent Auditor's Report**

Year Ended June 30, 2020

DRAFT



**Table of Contents**

June 30, 2020

	<u>Page</u>
Independent Auditor's Report .....	1
Management's Discussion and Analysis (unaudited) .....	3
Basic Financial Statements:	
Government-wide Financial Statements:	
Statement of Net Position .....	9
Statement of Activities .....	10
Fund Financial Statements:	
Balance Sheet - Governmental Fund .....	11
Statement of Revenues, Expenditures, and Changes in Fund Balance - Governmental Fund .....	12
Notes to the Financial Statements .....	13
Required Supplemental Information (unaudited):	
Budgetary Comparison Schedule---General Fund .....	23
Notes to Required Supplemental Information .....	24

## **Independent Auditor's Report**

The Members of the Board  
Housatonic Resources Recovery Authority  
Brookfield, Connecticut

We have audited the accompanying financial statements of the governmental activities and major fund of the Housatonic Resources Recovery Authority (the "Authority") as of and for the year ended June 30, 2020, and the related notes to the financial statements, which collectively comprise the Authority's basic financial statements as listed in the table of contents.

### **Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### **Auditor's Responsibility**

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

## **Opinions**

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities and major fund of the Housatonic Resources Recovery Authority, as of June 30, 2020, and the respective changes in financial position for the year then ended in accordance with accounting principles generally accepted in the United States of America.

## **Other Matters**

### *Required Supplementary Information*

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis and budgetary comparison information on pages 3-8 and 23-24 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

*Nanavaty, Davenport, Studley & White, LLP*

December 18, 2020

## *Management's Discussion and Analysis*

## **Housatonic Resource Recovery Authority**

### **Management's Discussion and Analysis (Unaudited)**

Our discussion and analysis of the Housatonic Resource Recovery Authority's (the "Authority") financial performance provides an overview of the Authority's financial activities for the fiscal year ended June 30, 2020. Please read it in conjunction with the Authority's financial statements, which begin on page 9.

#### **FINANCIAL HIGHLIGHTS**

- As a result of the operations the Authority's net position increased by \$126,435 in 2020 compared to an increase of \$50,163 in 2019.
- The total cost of the Authority's programs and administration was \$499,587 and \$435,148 for 2020 and 2019, respectively.
- During fiscal year 2020, the Authority's revenues from program and administrative fees, and hauler permits increased from the prior year by \$142,417 to \$398,815 as a result of a significant increase in MSW fees over the prior year.

#### **USING THIS ANNUAL REPORT**

This annual report consists of a series of financial statements. The Statement of Net Position and the Statement of Activities (on pages 9 and 10) provide information about the activities of the Authority as a whole and represent a longer-term view of the Authority's finances. Fund financial statements start on page 11. For governmental activities, these statements tell how these services were financed in the short term as well as what remains for future spending. Fund financial statements also report the Authority's operations in more detail than the government-wide statements by providing line item expense information about the Authority's general fund.

#### **The Statement of Net Position and the Statement of Activities**

Our analysis of the Authority as a whole begins on page 9. One of the most important questions asked about the Authority's finances is "Is the Authority better or worse off as a result of the year's activities?" The Statement of Net Position and the Statement of Activities report information about the Authority and about its activities in a way that helps answer this question. These statements include all assets and liabilities using the accrual basis of accounting, which is similar to the accounting used by most private-sector companies. Accrual of the current year's revenues and expenses are taken into account regardless of when cash is received or paid.

The Statement of Net Position and the Statement of Activities report the Authority's net position and changes in them. You can think of the Authority's net position as the difference between assets (what the Authority owns), and liabilities (what the Authority owes), as one way to measure the Authority's financial health, or financial position. Over time, increases or decreases in the Authority's net position are one indicator of whether its financial health is improving or deteriorating. You will need to consider other non-financial factors, however, such as changes in the technology related to the disposal of municipal solid waste and recycled materials, changes in tonnage in the local towns, as well as the continued support of the regional towns, to assess the overall financial health of the Authority.

## Housatonic Resource Recovery Authority

### Management's Discussion and Analysis (Unaudited)

#### USING THIS ANNUAL REPORT (continued)

##### Reporting the Authority's Fund Financial Statements

The Authority uses governmental funds to report its operations. The fund financial statements begin on page 11. The Authority's only governmental fund is the general fund.

*Governmental funds:* The basic services that the Authority provide are reported in the governmental funds, which focus on how money flows into and out of these funds and the balances left at year-end that are available for spending. The governmental fund statements provide a detailed short-term view of the Authority's general governmental operations and the basic services that it provides. The information presented in the governmental fund helps readers determine whether there are more or fewer financial resources that can be spent in the near future to finance the Authority's programs. The governmental activities presented in the Statement of Net Position and the Statement of Activities is reconciled to the governmental funds in the Balance Sheet of the general fund.

*Notes to the Financial Statements:* The notes provide additional information that is essential to a full understanding of the data provided in the governmental-wide and fund financial statements. The notes to the financial statements can be found on pages 13-22 of this report.

*Required Supplemental Information:* In addition to the basic financial statements and accompanying notes, this report also presents a Budgetary Comparison Schedule for the General Fund that can be found on pages 23-24 of this report.

#### FINANCIAL ANALYSIS OF THE AUTHORITY

The following tables and exhibits present condensed information about the Authority's net position, revenues and expenses for fiscal year 2019 compared to 2018:

**Table 1**

	As of June 30,	
	2020	2019
Current assets	\$ 921,082	\$ 785,238
Capital assets, net	6,519	3,618
Total assets	927,601	788,856
Current liabilities	(22,707)	(10,397)
<b>Net position:</b>		
Invested in capital assets	6,519	3,618
Unrestricted	898,375	774,841
Total net position	\$ 904,894	\$ 778,459

## Housatonic Resource Recovery Authority

### Management's Discussion and Analysis (Unaudited)

#### FINANCIAL ANALYSIS OF THE AUTHORITY (continued)

<b><u>Table 2</u></b>	<b>For the Year Ended June 30,</b>	
	<b>2020</b>	<b>2019</b>
<b>Revenues</b>		
Program revenues:		
Charges for services - user fees	\$ 398,815	\$ 291,848
Operating grants and reimbursements	193,051	160,799
	<u>591,866</u>	<u>452,647</u>
General revenues:		
Investment earnings and miscellaneous	34,156	32,664
Total revenues	<u>626,022</u>	<u>485,311</u>
<b>Program expenses</b>		
General government	499,587	435,148
<b>Increase in net position</b>	<u>126,435</u>	<u>50,163</u>
<b>Net position unrestricted - beginning</b>	<u>778,459</u>	<u>728,296</u>
<b>Net position unrestricted - ending</b>	<u><u>\$ 904,894</u></u>	<u><u>\$ 778,459</u></u>

#### Governmental Activities and General Fund

The Authority's operations are accounted for as Governmental Activities. Net position of the governmental activities increased in 2020. MSW tonnage in the 2019-20 FY had a small decrease from 133,324 to 132,640 tons. Revenues are based on a contracted MSW program fee of \$2.00 per ton.

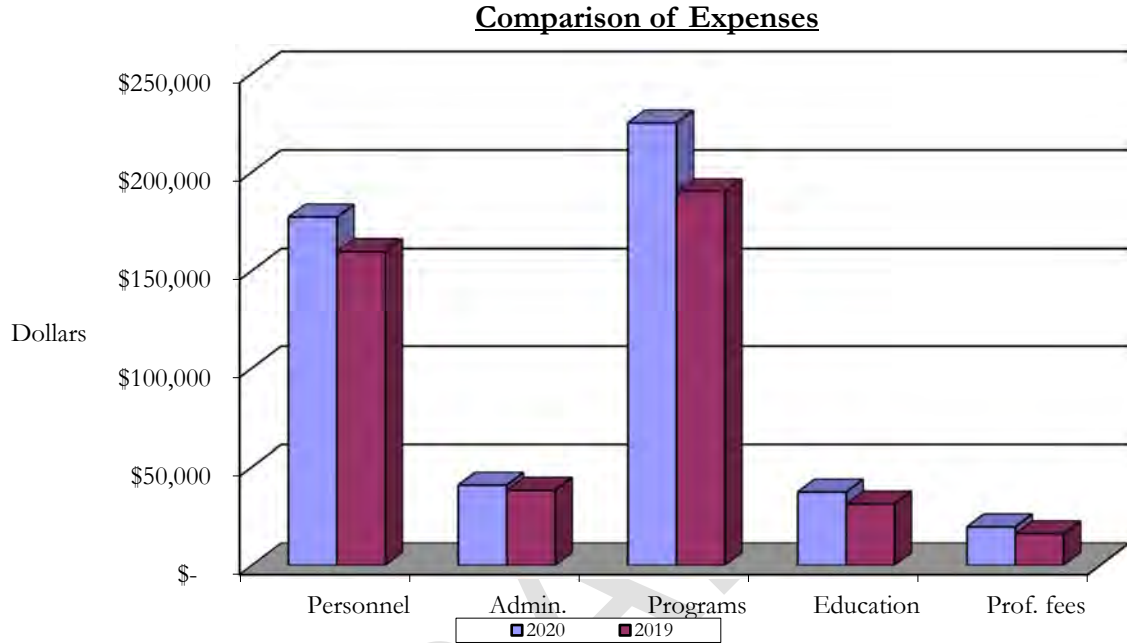
Recycling tonnage for the HRRRA member municipalities delivered to the Oak Ridge Transfer Stations, LLC ("Oak Ridge") recycling center decreased by approximately 10% to 8,388 tons in 2019-20. Recycling revenue for 2019-20 was paid at \$5.00/ton.

General revenues include net investment income and gains totaling \$33,531. Total costs of governmental activities decreased for the year ended June 30, 2020 from 2019 due to lower costs for legal expenses offset by increases in staffing, education and Household Hazardous Waste expenses. Total expenditures in the General Fund were different from the total expenses in the Statement of Activities due to depreciation and capital asset additions.

## Housatonic Resource Recovery Authority

### Management's Discussion and Analysis (Unaudited)

#### FINANCIAL ANALYSIS OF THE AUTHORITY (continued)



#### General Fund Budgetary Highlights

Table 3 summarizes the changes in the budget and shows a comparison with the actual results.

	<b>Original/Final</b>		
	<b>Budget</b>	<b>Actual</b>	<b>Variance</b>
<b>Revenues:</b>			
Program revenues:			
Charges for services - user fees	\$ 356,250	\$ 398,815	\$ 42,565
Operating grants and reimbursements	206,500	193,676	(12,824)
	562,750	592,491	29,741
General revenues:			
Investment earnings and other	12,000	33,531	21,531
Total revenues	574,750	626,022	51,272
<b>Expenditures:</b>			
General government	574,750	502,488	72,262
Total expenditures	574,750	502,488	72,262
<b>Excess of revenues over expenditures</b>	<b>\$ -</b>	<b>\$ 123,534</b>	<b>\$ 123,534</b>



## Housatonic Resource Recovery Authority

### Management's Discussion and Analysis (Unaudited)

#### FINANCIAL ANALYSIS OF THE AUTHORITY (continued)

##### Financial Ratios

The following financial ratios should be used to assess the financial stability of the Authority's Governmental Activities over an extended period. These ratios can indicate trends that the Authority administrators and its citizens may need to consider as they establish future budgets and set program and administrative fees.

	2020	2019	2018	2017	2016
Working Capital	\$898,400	\$774,800	\$724,700	\$767,500	\$802,000
Current Ratio	39.6	75.5	171.3	165.5	161.4

"Working Capital" is the amount by which current assets exceed current liabilities at a point in time. The "Current Ratio" which compares current assets to current liabilities, is an indicator of the ability to pay current obligations at a point in time. A ratio greater than 1 is a positive indicator.

	2020	2019	2018	2017	2016
Days in Operating Cash	479	405	507	567	707

"Days in Operating Cash" represents the number of days' normal operations could continue with no future revenue collection. The ratios of Working Capital and Days in Cash demonstrate a continuing ability to finance operations with cash.

#### CAPITAL ASSETS AND DEPRECIATION

At June 30, 2020, the Authority had \$12,300 invested in capital assets consisting of office equipment and furniture. These capital assets were purchased during the last two years. Depreciation for the current year is \$2,460.

## **Housatonic Resource Recovery Authority**

### **Management's Discussion and Analysis (Unaudited)**

#### **ECONOMIC FACTORS AND NEXT YEAR'S BUDGET AND RATES**

The Authority established a fiscal year 2020-21 budget that included \$637,000 in revenues, and \$612,301 in proposed expenditures. The budget includes a planned use of fund balance in the amount of \$24,699 to balance the budget. The budget was adopted at the Authority's June 2020 meeting.

Budgeted revenue overall increased in 2020-21 by \$62,250. Grants and donations increased \$26,500. Hauler permit fees were increased to reflect an increase in haulers in the region at \$85,000. Interest Income is expected to be the same. HHW revenue is expected to remain the same as 2019-20. The Oak Ridge MSW Program fee is expected to increase by \$37,000 due to an increase in tonnage. The recycling rebate was reduced by \$7,500 to reflect the loss in recycling tonnage from one major hauler in the region.

Budgeted expenses overall had an increase for 2020-21 by \$37,551. There were two significant changes in the 2020-21 budget to expenditures. There was a \$10,000 increase to the HHW Fund to support municipalities that host HHW events. The second change is a \$27,501 increase in staffing expenses. There was an increase in salary for both the Executive Director and the Administrative Assistant/Regional Recycling Coordinator and the Authority approved eligible full time employees joining the State of Connecticut Partnership 2.0 Health Insurance Plan. The Authority approved contributing 80% and 20% is paid by the employee.

Overall revenues are expected to be more than sufficient to finance the current year's operation. The budget was approved for operating expenditures of \$612,301 with a remaining net revenue of \$24,699.

Revenues for FY 2019-20 and FY 2020-21 are based on a contracted MSW program fee of \$2.00 per ton. MSW tonnage in the 2019-20 FY had a small decrease from 133,324 to 132,640 tons.

Recycling tonnage for the HRRRA member municipalities delivered to the Oak Ridge Recycling Center decreased from 9,285 tons in 2018-19 to 8,388 tons in 2019-20. The difference in revenue from 2018-19 FY to 2019-20 FY was (\$31,779).

#### **CONTACTING THE AUTHORITY'S FINANCIAL MANAGEMENT**

This financial report is designed to provide the Housatonic Resource Recovery Authority, its member towns and cities and the citizens of those municipalities, with a general overview of the Authority's finances and to show the Authority's accountability for the money it receives. If you have questions about this report or need additional information, contact Jennifer Heaton-Jones, Executive Director for the Authority, Old Town Hall, 162 Whisconier Road, Brookfield, Connecticut 06804.

## *Basic Financial Statements*

DRAFT

Housatonic Resources Recovery Authority

**Statement of Net Position---Governmental Activities**

As of June 30, 2020

**Assets:**

Cash and cash equivalents	\$ 253,434
Investments	549,253
Accounts receivable	118,395
Capital assets, net of depreciation	6,519
Total assets	<u>\$ 927,601</u>

**Liabilities:**

Accounts payable and accrued expenses	<u>\$ 22,707</u>
Total liabilities	<u>22,707</u>

**Net Position:**

Invested in capital assets	6,519
Unrestricted	898,375
Total net position	<u>904,894</u>
Total liabilities and net position	<u>\$ 927,601</u>

*See accompanying notes to the basic financial statements.*

Housatonic Resources Recovery Authority

**Statement of Activities---Governmental Activities**

For the Year Ended June 30, 2020

Program Activities	Expenses	Program Revenues		Governmental Activities
		Charges for Services - User Fees	Operating Grants and Reimbursements	
General Government	\$ -	\$ 398,815	\$ 33,400	\$ 432,215
Staffing	177,116	-	-	(177,116)
Household Hazardous Waste Expense	224,897	-	159,651	(65,246)
Professional services	19,547	-	-	(19,547)
Education	37,270	-	-	(37,270)
Office expenses	17,567	-	-	(17,567)
Insurance	6,990	-	-	(6,990)
Miscellaneous	7,149	-	-	(7,149)
Contingency	3,786	-	-	(3,786)
Travel/mileage reimbursement	2,805	-	-	(2,805)
Depreciation	2,460	-	-	(2,460)
Total governmental activities	<u>\$ 499,587</u>	<u>\$ 398,815</u>	<u>\$ 193,051</u>	<u>92,279</u>
		General revenues:		
		Investment earnings		33,531
		Miscellaneous		625
		Total general revenues		<u>34,156</u>
Change in net position				126,435
Net Position---beginning of year				<u>778,459</u>
Net Position---end of year				<u>\$ 904,894</u>

See accompanying notes to the basic financial statements.

Housatonic Resources Recovery Authority

**Balance Sheet---Governmental Fund**

As of June 30, 2020

	<b>General Fund</b>
<b>Assets</b>	
Cash and cash equivalents	\$ 253,434
Investments	549,253
Accounts receivable	118,395
Total assets	<u>\$ 921,082</u>
<b>Liabilities and Fund Balance</b>	
Liabilities:	
Accounts payable and accrued expenses	\$ 22,707
Total liabilities	<u>22,707</u>
Fund Balance:	
Unassigned	898,375
Total fund balance	<u>898,375</u>
Total liabilities and fund balance	<u>\$ 921,082</u>

**Reconciliation of Governmental Fund Balance Sheet to Statement of Net Position:**

Total fund balance of governmental fund	\$ 898,375
Add: Capital assets, net of depreciation	6,519
Total net position of governmental activities	<u>\$ 904,894</u>

*See accompanying notes to the basic financial statements.*

Housatonic Resources Recovery Authority

**Statement of Revenues, Expenditures, and  
Changes in Fund Balance---Governmental Fund**

For the Year Ended June 30, 2020

	<b>General Fund</b>
<b>Revenues</b>	
Charges for services - User fees	\$ 398,815
Intergovernmental	159,651
Investment earnings	33,531
Miscellaneous	34,025
Total revenues	<u>626,022</u>
<b>Expenditures</b>	
Current:	
General Government	
Staffing	177,116
Household Hazardous Waste Expense	224,897
Professional services	19,547
Education	37,270
Office expenses	22,928
Insurance	6,990
Miscellaneous	7,149
Contingency	3,786
Travel/mileage reimbursement	2,805
Total general government	<u>502,488</u>
Excess of revenues over expenditures	123,534
Fund balance--at beginning of year	774,841
Fund balance--at end of year	<u><u>\$ 898,375</u></u>

**Reconciliation of the Statement of Revenues, Expenditures, and Changes in  
Fund Balance of Governmental Fund to the Statement of Activities:**

Net change in fund balance - governmental fund	\$ 123,534
Add: Capital outlay for office furniture	5,361
Less: Depreciation expense on capital assets	(2,460)
Change in net position of governmental activities	<u><u>\$ 126,435</u></u>

*See accompanying notes to the basic financial statements.*

Housatonic Resources Recovery Authority

**Notes to Financial Statements**

June 30, 2020

**NOTE 1 - SUMMARY OF ACCOUNTING POLICIES**

*Reporting Entity*

The Housatonic Resources Recovery Authority (the “Authority”) was created in July 1986 in accordance with the Connecticut General Statutes Chapter 103b, Municipal Resource Recovery Authorities. The Authority was established for the purpose of providing municipal solid waste and recycling management for the Housatonic Valley municipalities of Danbury, Bethel, Bridgewater, Brookfield, Kent, New Fairfield, New Milford, Newtown, Redding, Ridgefield and Sherman. The Authority, a jointly governed organization created by the Housatonic Valley municipalities, is a regional authority governed by an eleven-member board comprised of the Chief Elected Officials and other representatives of the member towns and their appointed alternates.

The accompanying statements of the Authority have been prepared in conformity with accounting principles generally accepted in the United States of America (GAAP) as applicable to governmental units. The financial statements include all organizations, activities, and functions that comprise the Authority. Component units are legally separate entities for which the Authority (primary entity) is financially accountable. Financial accountability is defined as the ability to appoint a voting majority of the organization’s governing body and either (1) the Authority’s ability to impose its will over the organization or (2) the potential that the organization will provide a financial benefit to, or impose a financial burden on, the Authority. Using these criteria, the Authority has no component units.

*Government-Wide and Fund Financial Statements*

The government-wide financial statements (i.e., the Statement of Net Position and the Statement of Activities) report information on all the activities of the Authority.

The Statement of Activities demonstrates the degree to which the direct expenses of a given function or segments are offset with program revenues. Direct expenses are those that are clearly identifiable with a specific function or segment. Program revenues include 1) charges to haulers, and program fees from municipal solid waste and recycling tip fees, and 2) operating grants and reimbursements from other governmental units as well as corporate grants for recycling education programs. Other items not included among program revenues are reported as general revenues. The major individual governmental fund of the Authority is the general fund and it has been reported as a separate column in the fund financial statements.

The government-wide financial statements are reported using the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded when a liability is incurred, regardless of the timing of related cash flows. Grants and similar items are recognized as revenue as soon as all eligibility requirements imposed by the provider have been met.



Housatonic Resources Recovery Authority

**Notes to Financial Statements (continued)**

June 30, 2020

**NOTE 1 - SUMMARY OF ACCOUNTING POLICIES (continued)**

Governmental fund financial statements are reported using the current financial resources measurement focus and the modified accrual basis of accounting. Revenues are recognized as soon as they are both measurable and available. Revenues are considered to be available when they are collectible within the current period or soon enough thereafter to pay liabilities of the current period. For this purpose, the government considers revenues to be available if they are collected within ninety days of the end of the current fiscal period. Expenditures generally are recorded when a liability is incurred, under accrual accounting.

Revenues such as the per ton program and administrative fees paid by those collectors of municipal solid waste and recyclables within the HRRRA region who use any of the three MSW transfer stations and/or the regional recycling facility associated with the current fiscal period are all considered susceptible to accrual and so have been recognized as revenues of the current fiscal period. All other revenue items are considered measurable and available only when the Authority receives the cash.

*Fund Accounting*

The Authority uses funds to maintain its financial records during the year. A fund is defined as a fiscal and accounting entity with a self-balancing set of accounts, which are segregated for the purpose of carrying on specific activities or attaining certain objectives in accordance with special regulations, restrictions or limitations. The Authority uses only governmental funds.

*Governmental Funds*

Governmental funds are those through which most governmental functions typically are financed. Governmental funds reporting focuses on the sources, uses and balances of current financial resources. Expendable assets are assigned to the various governmental funds according to the purpose for which they may or must be used. Current liabilities are assigned to the fund from which they will be paid. The difference between governmental fund assets and liabilities is reported as fund balance.

The Authority reports the following major governmental funds:

General Fund – The General Fund is used to account for all financial resources of the Authority except those required to be accounted for in another fund. The general fund balance is available to the Authority for any purpose provided it is expended or transferred according to the By-Laws of the Authority.

The financial statements of the Authority are presented in accordance with generally accepted accounting principles (GAAP). The Authority applies all relevant Governmental Accounting Standards Board (GASB) pronouncements.

Housatonic Resources Recovery Authority

**Notes to Financial Statements (continued)**

June 30, 2020

**NOTE 1 - SUMMARY OF ACCOUNTING POLICIES (continued)**

The accounting and reporting framework and the more significant accounting principles and practices are discussed in subsequent sections of this Note. The remainder of the Notes is organized to provide explanations, including required disclosures, of the Authority's financial activities for the fiscal year ended June 30, 2020.

*Budgets and Budgetary Accounting*

Formal budgetary accounting is employed as a management control within the Authority for the General Fund. An annual operating budget is adopted each fiscal year in accordance with the Authority's By Laws and amended as required. The General Fund budget is adopted on a modified accrual basis of accounting (GAAP), except that encumbrances and continued appropriations are treated as budgeted expenditures in the year of incidence of the commitment to purchase.

The Authority members are authorized to transfer budget amounts within line items as well as any supplemental appropriations that amend the total expenditures. During the year, some line item transfers were necessary.

*Revenues - Exchange and Non-Exchange Transactions*

Revenue resulting from exchange transactions, in which each party gives and receives essentially equal value, is recorded on the accrual basis when the exchange takes place. On a modified accrual basis, revenue is recorded in the fiscal year in which the resources are measurable and become available. Available means that the resources will be collected within the current fiscal year or are expected to be collected soon enough thereafter to be used to pay liabilities of the current fiscal year. For the Authority, available means expected to be received within ninety days of the fiscal year-end.

*Expenses/Expenditures*

On an accrual basis of accounting, expenses are recognized at the time they are incurred. The measurement focus of governmental fund accounting is on decreases in net financial resources (expenditures) rather than expenses. Expenditures are generally recognized in the accounting period in which the related fund liability is incurred, if measurable.

*Cash and Cash Equivalents*

The Authority's cash and cash equivalents are considered to be cash on hand, demand deposits and short-term investments with original maturities of three months or less from the date of acquisition. All cash and cash equivalents are insured or collateralized with securities held by the pledging financial institution segregated from its other assets, in accordance with State Statutes.

Housatonic Resources Recovery Authority

**Notes to Financial Statements (continued)**

June 30, 2020

**NOTE 1 - SUMMARY OF ACCOUNTING POLICIES (continued)**

*Investments*

The Authority invests excess cash in mutual fund accounts with a national financial institution. The Authority classifies this type of deposit as an investment for financial statement purposes. Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 2 for a discussion of fair value measurements.

*Receivables*

Receivables at June 30, 2020 consist of accounts receivable for Program Fees. All accounts receivable is deemed collectible in full, and therefore no allowance for doubtful accounts exists.

*Capital Assets and Depreciation*

Capital assets consist of office furniture and computer equipment reported in the governmental-wide financial statements. All capital assets are valued at historical cost or estimated historical cost if actual historical cost is not available. Donated assets, if any, are valued at estimated fair market value on the date donated. Depreciation of capital assets is computed and recorded by the straight-line method. Estimated useful lives of the depreciable capital assets are 5 years. Maintenance and repairs are recorded as expenses when incurred.

*Net Position*

Net position is the net effect of assets, deferred outflows of resources, liabilities, and deferred inflows of resources. Net position is reported as restricted when there are legal limitations imposed on their use by Authority legislation or external restrictions by other governments, creditors, grantors, laws or regulations of other governments. The unrestricted component of net position is the net amount of the assets, deferred outflows of resources, liabilities, and deferred inflows of resources that are not included in the determination of net investment in capital assets or the restricted component of net position.

Housatonic Resources Recovery Authority

**Notes to Financial Statements (continued)**

June 30, 2020

**NOTE 1 - SUMMARY OF ACCOUNTING POLICIES (continued)**

*Fund Balance Reporting*

The Governmental Accounting Standards Board (GASB) Statement No. 54, *Fund Balance Reporting and Governmental Fund Type Definitions* (GASB 54) define the different types of fund balances that a governmental entity must use for financial reporting purposes. GASB 54 requires the fund balance amounts to be reported using the fund balance categories listed below:

1. *Non-spendable* - fund balance that is either (a) not in spendable form, or (b) legally or contractually required to remain intact.
2. *Restricted* - fund balance that can be spent only for the specific purposes stipulated by constitution, external resource providers, or through enabling legislation
3. *Committed* - fund balance that can be used only for the specific purposes determined by a formal action of the members of the Authority (the Authority's highest level of decision-making authority)
4. *Assigned* - fund balance that is intended to be used by the Authority for specific purposes but does not meet the criteria to be classified as restricted or committed
5. *Unassigned* - fund balance is the residual classification for the government's general fund and includes all spendable amounts not contained in the other classifications

*Use of Estimates*

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from those estimates.

*Subsequent Events*

Management has evaluated transactions and events that occurred through December 18, 2020, the date these financial statements were available to be issued, for recognition and/or disclosure in these financial statements.

Housatonic Resources Recovery Authority

**Notes to Financial Statements (continued)**

June 30, 2020

**NOTE 2 - DEPOSITS WITH FINANCIAL INSTITUTIONS AND INVESTMENTS**

Deposits and investments consist of the following at June 30, 2020:

Cash in checking	\$ 237,098
Other deposit accounts	<u>16,336</u>
Total cash and cash equivalents	<u>\$ 253,434</u>
Investment in mutual funds	<u>\$ 549,253</u>
Total investments	<u>\$ 549,253</u>

*Deposits*

The Authority's deposits can include demand and savings accounts and certificates of deposit with Connecticut banks. The Authority policy adopts the State of Connecticut requirements that each depository maintains segregated collateral in an amount equal to a defined percentage of its public deposits based upon the bank's risk-based capital ratio.

*Investments*

The Authority's investments consist of shares or other interests in custodial arrangements or pools maintaining constant net asset values and in highly rated no-load open-end money market and mutual funds (with constant fluctuating net asset values) whose portfolios include obligations of the United States and its agencies, and repurchase agreements fully collateralized by such obligations. The Authority also invests in shares of the Connecticut Short-term Investment Fund.

*Concentrations*

The Authority does not have a formal policy; however, their practice has been to maintain a diversified portfolio to minimize risk of loss resulting from over-concentration of assets in a specific issuer.

Housatonic Resources Recovery Authority

**Notes to Financial Statements (continued)**

June 30, 2020

**NOTE 2 - DEPOSITS WITH FINANCIAL INSTITUTIONS AND INVESTMENTS  
(continued)**

*Custodial Credit Risk*

Custodial credit risk is the risk that in the event of a bank failure, the Authority's deposits may not be returned to it. At June 30, 2020, the carrying amount of the Authority's deposits in financial institutions was \$237,098 and the bank balance was \$218,094. The entire bank balance was insured at year-end.

The Authority had \$16,310 invested with the State of Connecticut Treasurer's Short - Term Investment Fund ("STIF"). The STIF is an investment pool of high quality, short-term money market instruments (under 60 days). The STIF is rated AAAM by Standard and Poor's. The STIF maintains a designated surplus reserve equal to one-tenth of one percent of the funds value, until it reaches one percent of the value of all investments in the Fund. The funds in the reserve act as a general reserve against losses and are not held in a specific depositor's name. Currently the reserve contains in excess of \$70. Any losses experienced from a security default or a decline in market value of a security will be charged against the reserve.

There is a risk that in the event of a failure of the counterparty to an investment transaction, the Authority will not be able to recover the value of its investment that is the possession of another party. At June 30, 2020, the Authority had \$549,253 invested in mutual fund accounts.

*Fair Value Measurements*

The Authority categorizes its fair value measurements within the fair value hierarchy established by generally accepted accounting principles. The hierarchy is based on the valuation inputs used to measure the fair value of the asset. Level 1 inputs are quoted process in active markets for identical assets; Level 2 inputs are significant other observable inputs; Level 3 inputs are significant unobservable inputs. The Authority has the following recurring fair value measurements as of June 30, 2020: Mutual funds of \$549,253 that are primarily invested in U.S. Treasury notes are valued using quoted market prices (Level 1 inputs).

**NOTE 3 - PENSION PLAN**

The Authority has established a Simplified Employee Pension Plan covering all employees. The Authority is required to contribute 7.5% of eligible employee's wages to the plan. Employees vest immediately in their accounts upon entrance into the plan. During the year ended June 30, 2020 the Authority contributed \$9,191 to the plan on eligible wages of \$122,542.

Housatonic Resources Recovery Authority

**Notes to Financial Statements (continued)**

June 30, 2020

**NOTE 4 - CAPITAL ASSETS AND DEPRECIATION**

A summary of changes in capital assets is as follows:

	<b>Balance</b>			<b>Balance</b>
	<b>July 1, 2019</b>	<b>Increases</b>	<b>Decreases</b>	<b>June 30, 2020</b>
Furniture and equipment	\$ 6,939	\$ 5,361	\$ -	\$ 12,300
Accumulated depreciation	(3,321)	(2,460)	-	(5,781)
	<u>\$ 3,618</u>	<u>\$ 2,901</u>	<u>\$ -</u>	<u>\$ 6,519</u>

**NOTE 5 - RELATED PARTY TRANSACTIONS**

During the year the Authority received a total of \$159,651 from the participating regional towns as a reimbursement for the operation of the Household Hazardous Waste Day events. All amounts invoiced were received.

The Authority also leases for office space from the Town of Brookfield, one of its member towns. The terms of the lease are as follows: 5 years commencing on October 1, 2016 for \$81,000 payable as \$1,350 per month starting on September 1, 2016 and on the first of each month thereafter. Either party may terminate the lease at any time by giving notice at least 90 days prior to the effective date of termination. See NOTE 6.

**NOTE 6 - COMMITMENTS**

*Service Agreements*

On January 11, 2018, the Authority signed a Regional Solid Waste and Recycling Agreement with Oak Ridge to accept and dispose of municipal solid waste and recycling materials for the region. The Agreement is effective July 1, 2019 for a period of 10 years, with the right to extend this Agreement beyond the initial 10-year term for 3 separate additional 5-year periods. The Agreement includes all terms and fees related to the acceptance and disposal of municipal solid waste and recycling materials. The Recycling Program Fee paid to HRRA is \$5.00 per ton of recycling material delivered to the recycling facility. The MSW Program Fee paid to HRRA is \$2.00 per ton of HRRA MSW delivered to the transfer stations.

Housatonic Resources Recovery Authority

**Notes to Financial Statements (continued)**

June 30, 2020

**NOTE 6 - COMMITMENTS (continued)**

*Operating Leases*

On May 30, 2017, the Authority signed a lease for office space with the Town of Brookfield. The terms of the lease are as follows: 5 years commencing on October 1, 2016 for \$81,000 payable as \$1,350 per month starting on September 1, 2016 and on the first of each month thereafter. Either party may terminate the lease at any time by giving notice at least 90 days prior to the effective date of termination.

The Authority also has a lease for office equipment for a term of 48 months beginning October 2016. Minimum lease payments under this lease are \$140.88 per month.

**NOTE 7 - CONCENTRATIONS OF RISK AND UNCERTAINTIES**

A major portion of the Authority's revenues is derived from fees based on throughput in the recycling and municipal solid waste facilities. Declines in the levels of throughput in either facility or a negotiated change in the fee structure could adversely affect the Authority's ability to generate future cash flow from the HRRRA Service Agreements.

In 2020, the COVID-19 outbreak reached the United States and caused economic interruptions through mandated and voluntary closings of businesses and organizations in all 50 states. While the interruption is currently expected to be temporary, there is considerable uncertainty as to the duration and long-term effects of COVID-19 on the economy. Due to the uncertainties that exist, the Authority is unable to reasonably estimate the future financial effects of this virus, if any, on its operation.

**NOTE 8 - RISK MANAGEMENT**

The Authority is exposed to various risks of loss related to public officials, torts, injuries to employees and acts of God. The Authority purchases commercial insurance for all risks of loss. The Authority has had no significant reduction in the coverage on the above insurances from prior year. The Authority has had no settlements on any insurance coverage in the current year or prior year.

The HRRRA Service Agreements require that Oak Ridge Transfer Stations, LLC and Oak Ridge Hauling, LLC indemnify the Authority and the municipalities from any and all damages and causes of action which may arise from a party's use or entrance into the Transfer stations.



Housatonic Resources Recovery Authority

**Notes to Financial Statements (continued)**

June 30, 2020

**NOTE 9 - FUND BALANCE POLICY**

The Authority has a Fund Balance Policy to establish goals and provide guidance concerning the desired level of fund balance maintained by the Authority to mitigate financial risk that can occur from unforeseen revenue fluctuations, unanticipated expenditures, and similar circumstances.

In accordance with the policy, the fund balance will be reviewed by the Executive Committee every year that it is over 200% of the non-reimbursable expenditures for the prior year. Additionally, no part of the fund balance can be spent without the approval of the full authority.

It is the goal of the Authority to achieve and maintain an unassigned fund balance in the general fund at fiscal year-end of not less than 100% of annual operating expenditures. If the unassigned fund balance at fiscal year-end falls below the goal, the Authority shall develop a restoration plan to achieve and maintain the minimum fund balance.

*Required Supplemental Information*

DRAFT

Housatonic Resources Recovery Authority

**Budgetary Comparison Schedule---General Fund**

For the Year Ended June 30, 2020

	<b>Original/Final Budget</b>	<b>Actual</b>	<b>Variance Favorable/ (Unfavorable)</b>
<b>Revenues:</b>			
Charges for services - User fees	\$ 356,250	\$ 398,815	\$ 42,565
Intergovernmental	175,000	159,651	(15,349)
Investment earnings and other	12,000	33,531	21,531
Miscellaneous	31,500	34,025	2,525
Total revenues	<u>574,750</u>	<u>626,022</u>	<u>51,272</u>
<b>Expenditures:</b>			
General government			
Contingency	20,000	3,786	16,214
Education	39,500	37,270	2,230
Household Hazardous Waste Expense	240,000	224,897	15,103
Insurance	8,125	6,990	1,135
Miscellaneous	7,300	7,149	151
Office expenses	23,500	22,928	572
Professional services	28,300	19,547	8,752
Staffing	203,776	177,116	26,660
Travel/mileage reimbursement	4,249	2,805	1,444
Total expenditures	<u>574,750</u>	<u>502,488</u>	<u>72,262</u>
Excess of revenues over expenditures	<u>\$ -</u>	<u>\$ 123,534</u>	<u>\$ 123,534</u>

*See Independent Auditor's Report and notes to required supplemental information.*

Housatonic Resources Recovery Authority

**Notes to Required Supplemental Information**

June 30, 2020

**NOTE 1 - SUMMARY OF ACCOUNTING POLICIES**

*Budgets and Budgetary Accounting*

Formal budgetary accounting is employed as a management control within the Authority for the General Fund. An annual operating budget is adopted each fiscal year in accordance with the Authority's By-Laws and amended as required. The General Fund budget is adopted on a modified accrual basis of accounting, except that encumbrances and continued appropriations are treated as budgeted expenditures in the year of incidence of the commitment to purchase and certain employee benefits are budgeted on the cash basis of accounting.

The Board is authorized to transfer budgeted amounts within line items, as well as any supplemental appropriations and appropriations of fund balance that amend the total expenditures. During the year, line item transfers were not necessary.

Encumbrance accounting, under which purchase orders, contracts, and other commitments for the expenditure of monies are recorded in order to reserve that portion of the applicable appropriation, is employed as an extension of formal budgetary integration in the General Fund. Encumbrances outstanding at year-end are reported as reservations of fund balance since they do not constitute expenditures or liabilities. There were no encumbrances outstanding at June 30, 2020.

Unencumbered appropriations lapse at the end of each fiscal year.



*Town of Mansfield*

***A Resolution Declaring the Town of Mansfield's Support for a Federal and State Break Free from Plastic Pollution Act***

**WHEREAS**, communities in Connecticut and across the nation are struggling to manage the rising cost of recycling programs; and

**WHEREAS**, Connecticut has one of the lowest bottle bill redemption rates at around 51%;<sup>1</sup> and

**WHEREAS**, producers of packaging have little incentive to design packaging to minimize waste, reduce toxicity or maximize recyclability, and there is no organized coordination between the producers of packaging and municipalities that are responsible for disposing of or recycling the packaging; and

**WHEREAS**, producers of packaging have taken some or all responsibility for the management of post-consumer packaging in Europe and Canada, and as a result have greatly increased recycling rates and infrastructure investment, created jobs and reduced taxpayer costs; and

**WHEREAS**, after 2017 when China changed its import policies to severely restrict the amount of contaminated and poorly sorted plastics it would accept, the U.S. began shipping plastics to parts of Southeast Asia and Africa with poor infrastructure; and

**WHEREAS**, many local governments are directly challenged by a robust lobbying effort at the state level to curtail actions to reduce plastic products; and

**WHEREAS**, the petrochemical industry announced \$204 billion in investments for 334 new or expanded plastic facilities in the United States, many relying on state and local tax incentives, which could increase global plastic production by a third in the next five years exacerbating pollution risks to frontline communities and undermining efforts by communities to reduce plastic waste.<sup>2</sup>

**NOW, THEREFORE:**

The Town of Mansfield supports at the federal and state level the goals of the Break Free from Plastic Pollution Act, co-authored by US Senator Tom Udall of New Mexico and US Representative Alan Lowenthal of California, which outlines a comprehensive approach to reducing plastic and packaging waste.

This resolution shall become effective upon adoption.

*IN WITNESS WHEREOF, I have set my hand and caused the seal of the Town of Mansfield to be affixed on this twenty-third day of November in the year 2020.*

Antonia Moran  
Mayor, Town of Mansfield

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<sup>1</sup> [www.bottlebill.org](http://www.bottlebill.org)

<sup>2</sup> Legislative Blueprint for Reducing Plastic and Packaging Pollution



*The Housatonic Resources Recovery Authority*

*A Resolution Declaring the Towns of Bethel, Bridgewater, Brookfield, Danbury, Kent, New Fairfield, New Milford, Newtown, Redding, Ridgefield, Sherman and Weston Support for a Federal and State Break Free from Plastic Pollution Act*

**WHEREAS**, communities in Connecticut and across the nation are struggling to manage the rising cost of recycling programs; and

**WHEREAS**, Connecticut has one of the lowest bottle bill redemption rates at around 51%;<sup>1</sup> and

**WHEREAS**, producers of packaging have little incentive to design packaging to minimize waste, reduce toxicity or maximize recyclability, and there is no organized coordination between the producers of packaging and municipalities that are responsible for disposing of or recycling the packaging; and

**WHEREAS**, producers of packaging have taken some or all responsibility for the management of post-consumer packaging in Europe and Canada, and as a result have greatly increased recycling rates and infrastructure investment, created jobs and reduced taxpayer costs; and

**WHEREAS**, after 2017 when China changed its import policies to severely restrict the amount of contaminated and poorly sorted plastics it would accept, the U.S. began shipping plastics to parts of Southeast Asia and Africa with poor infrastructure; and

**WHEREAS**, many local governments are directly challenged by a robust lobbying effort at the state level to curtail actions to reduce plastic products; and

**WHEREAS**, the petrochemical industry announced \$204 billion in investments for 334 new or expanded plastic facilities in the United States, many relying on state and local tax incentives, which could increase global plastic production by a third in the next five years exacerbating pollution risks to frontline communities and undermining efforts by communities to reduce plastic waste.<sup>2</sup>

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<sup>1</sup> [www.bottlebill.org](http://www.bottlebill.org)

<sup>2</sup> Legislative Blueprint for Reducing Plastic and Packaging Pollution

**NOW, THEREFORE:**

The members if the Housatonic Resources Recovery Authority supports at the federal and state level the goals of the Break Free from Plastic Pollution Act, co-authored by US Senator Tom Udall of New Mexico and US Representative Alan Lowenthal of California, which outlines a comprehensive approach to reducing plastic and packaging waste.

This resolution shall become effective upon adoption.

*IN WITNESS WHEREOF, I have set my hand and caused the seal of the Authority to be affixed on this \_\_\_\_\_ of December in the year 2020.*

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Matthew Knickerbocker, First Selectman  
Town of Bethel

---

Pete Bass, Mayor  
Town of New Milford

---

Curtis Read, First Selectman  
Town of Brookfield

---

Dan Rosenthal, First Selectman  
Town of Newtown

---

Steve Dunn, First Selectman  
Town of Brookfield

---

Julia Pemberton, First Selectman  
Town of Redding

---

Mark Boughton, Mayor  
City of Danbury

---

Don Lowe, First Selectman  
Town of Sherman

---

Jean Speck, First Selectman  
Town of Kent

---

Rudy Marconi, First Selectman  
Town of Ridgefield

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Pat DelMonaco, First Selectman  
Town of New Fairfield

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Chris Spalding, First Selectman  
Town of Weston

## **Proposed Dates for Household Hazardous Waste Events**

- **DANBURY** - April 10, 2021
- **NEWTOWN** – May 15, 2021
- **BETHEL** – June 12, 2021
- **BROOKFIELD** – September 18, 2021
- **NEW MILFORD** – October 9, 2021
- **TBD** – November 6, 2021

- 
- **DANBURY** - April, 2022
  - **NEWTOWN** – May, 2022
  - **BETHEL** – June, 2022



Cars	2588	3243	3643	4276	3176
Fiscal Year	2016-17FY	2017-18FY	2018-19FY	2019-20FY	2020-21FY
Bethel	178	218	264	404	173
	\$9,003.22	\$11,903.98	\$14,704.52	\$15,907.66	\$8,440.46
Bridgewater	31	55	45	63	67
	\$1,595.88	\$3,144.65	\$2,228.85	\$3,727.76	\$3,534.95
Brookfield	154	501	517	469	440
	\$7,755.18	\$24,351.41	\$6,327.95	\$18,077.22	\$9,817.26
Danbury	374	485	531	607	434
	\$18,384.86	\$25,693.40	\$29,084.76	\$26,306.83	\$11,329.09
Kent	19	20	21	40	29
	\$978.12	\$990.60	\$1,040.13	\$2,428.26	\$1,890.23
New Fairfield	133	241	194	196	163
	\$6,847.45	\$12,813.68	\$11,587.63	\$12,979.09	\$7,983.46
New Milford	523	582	494	572	598
	\$26,930.38	\$29,815.57	\$24,382.53	\$22,471.68	\$26,004.96
Newtown	652	477	929	863	295
	\$31,788.28	\$25,754.93	\$52,879.74	\$38,794.76	\$13,670.11
Redding	93	165	99	145	127
	\$4,930.09	\$9,296.08	\$6,461.28	\$8,757.99	\$6,345.55
Ridgefield	188	229	257	628	223
	\$9,481.80	\$12,483.53	\$15,026.36	\$25,580.34	\$10,832.81
Sherman	71	87	72	54	109
	\$3,655.08	\$4,767.31	\$3,566.16	\$3,255.74	\$6,703.71
Weston	0	0	0	0	338
	\$0.00	\$0.00	\$0.00	\$0.00	\$14,875.33
Non- HRRR Towns	163	162	174	185	179
	\$8,391.24	\$8,023.86	\$8,618.22	\$10,452.50	\$11,042.73
HRRR	9	21	46	50	1
	\$2,635.26	\$3,957.08	\$21,101.79	\$71,821.26	\$44,184.26
	2588	3243	3643	4276	3176
	\$132,376.84	\$172,996.08	\$197,009.92	\$260,561.09	\$176,654.91
	\$121,350.34	\$161,015.14	\$167,289.91	\$178,287.33	\$121,427.91
					Cost of Total Events
					Cost to Members

Location	Brookfield	Weston	New Milford	Danbury	Danbury	Newtown	Bethel	
2020-21 FY	Sept. 12, 2020	Oct. 10 , 2020	Oct. 3, 2020	Nov. 7, 2020	April. 10, 2021	May. 15, 2021	June. 12, 2021	
Cars	1179	370	905	722				
Total Cost	\$53,763.44	\$27,693.29	\$55,830.58	\$39,535.68				TOTAL 2020-21FY
Bethel	85 \$3,837.94	5 \$371.15	5 \$293.06	78 \$3,938.31				173 \$8,440.46
Bridgewater	39 \$1,885.99	0 \$0.00	21 \$1,295.52	7 \$353.44				67 \$3,534.95
Brookfield	386 \$6,952.11	1 \$78.23	13 \$767.28	40 \$2,019.64				440 \$9,817.26
Danbury	122 \$5,407.99	3 \$224.69	11 \$650.06	298 \$5,046.35				434 \$11,329.09
Kent	5 \$443.24	0 \$0.00	21 \$1,295.52	3 \$151.47				29 \$1,890.23
New Fairfield	84 \$3,795.51	4 \$306.46	11 \$650.06	64 \$3,231.43				163 \$7,983.46
New Milford	49 \$2,310.33	1 \$78.23	531 \$22,758.05	17 \$858.3500				598 \$26,004.96
Newtown	208 \$9,057.99	8 \$590.84	4 \$234.45	75 \$3,786.83				295 \$13,670.11
Redding	64 \$2,946.84	9 \$664.07	1 \$58.61	53 \$2,676.03				127 \$6,345.55
Ridgefield	126 \$5,577.73	13 \$956.99	7 \$410.28	77 \$3,887.81				223 \$10,832.81
Sherman	9 \$612.98	0 \$0.00	93 \$5,737.29	7 \$353.44				109 \$6,703.71
Weston	2 \$315.94	326 \$13,989.52	8 \$468.89	2 \$100.98				338 \$14,875.33
Non-HRRA Town	0 \$0.00	0 \$0.00	179 \$11,042.73	0 \$0.00				179 \$11,042.73
HRRA	0 \$10,619.54	0 \$10,433.12		1 \$13,131.60				1 \$44,184.26
	1179 \$53,764.13	370 \$27,693.30	905 \$55,661.80	722 \$39,535.68	0 \$0.00	0 \$0.00	0 \$0.00	3176 \$176,654.91 Total Cost all Events \$121,427.91 Total Cost to Members

**Attachment G3**

Location	Danbury	New Milford	Newtown	Car count & cost	Average cost per car	# of Events in FY
<b>2016-17 FY</b>	Sept. 2016	Sept. 2016	May. 2017			
<b>Participation</b>	793	940	855	2588		<b>3 Events</b>
<b>Total Cost</b>	\$40,982.97	\$48,397.54	\$42,996.35	\$132,376.86	\$51.15	<b>2016-17FY</b>

Location	Brookfield	Danbury	New Milford	Newtown	Car count & cost	Average cost per car	# of Events in FY
<b>2017-18 FY</b>	July. 2017	Sept. 2017	Sept. 2017	May. 2018			
<b>Participation</b>	854	863	930	596	3243		<b>4 Events</b>
<b>Total Cost</b>	\$45,953.17	\$46,695.44	\$46,617.37	\$33,730.10	\$172,996.08	\$53.34	<b>2016-17FY</b>

Location	Brookfield	Danbury	New Milford	Newtown	Car count & cost	Average cost per car	# of Events in FY
<b>2018-19 FY</b>	July. 28 2018	Sept. 29, 2018	Sept., 2018	May. 2019			
<b>Participation</b>	971	745	880	1047	3643		<b>4 Events</b>
<b>Total Cost</b>	\$41,572.31	\$45,066.09	\$43,586.88	\$66,785.15	\$197,010.43	\$54.08	<b>2016-17FY</b>

Location	Brookfield	Bethel	New Milford	Ridgefield	Danbury	Newtown	Car count & cost	Average cost per car	# of Events in FY
<b>2019-20 FY</b>	July. 20 2019	Sept. 7 2019	Sept. 2019	Oct. 5 2019	Nov. 5 2019	June. 6 2020			
<b>Participation</b>	608	428	888	454	713	1185	4276		<b>6 Events</b>
<b>Total Cost</b>	\$40,276.91	\$29,087.35	\$50,172.01	\$29,788.56	\$43,826.84	\$67,409.45	\$260,561.12	\$60.94	<b>2016-17FY</b>

Location	Brookfield	New Milford	Weston	Danbury	Danbury	Newtown	Bethel	Car count & cost	Average cost per car	# of Events in FY
<b>2020-21 FY</b>	Sept. 12, 2020	Oct. 3, 2020	Oct. 10 , 2020	Nov. 7, 2020	April. 10, 2021	May. 15, 2021	June. 12, 2021			
<b>Participation</b>	1179	905	370	722				3176		<b>7 Events</b>
<b>Total Cost</b>	\$53,763.44	\$55,830.58	\$27,693.29	\$39,535.68				\$176,822.99	\$55.67	<b>2016-17FY</b>



**HOUSATONIC RESOURCES RECOVERY AUTHORITY  
2021 Meeting Dates**

**Brookfield Town Hall or Via Zoom  
100 Pocono Rd, Brookfield, CT 06804  
Thursday 10:30 a.m.**

**Last Thursday of the Month**

Thursday, February 25

Thursday, April 29

Thursday, June 24

Thursday, September 30

Friday, December 17\*

All HRRA meetings are open to the public, and an opportunity for public comment is provided at every meeting.

*\*December meeting to be held on Friday of December.*

**Attachment H**



## **The Housatonic Resources Recovery Authority 2021 Legislative Session**

### **Possible Bills to be introduced.**

- Extended Producer Responsibility for Fuel Cylinders.
- Extended Producer Responsibility for Tires.
- Extended Producer Responsibility for Packaging.
- Expansion and Modernization of the Bottle Bill. Increase the handling fee, increase the deposit from 5 cents to 10 cents, expand the containers to include glass and other beverage containers that are a burden to the environment including nips.
- Minimum Recycled Content to increase the supply and demand chain.
- Organics to become a state mandated recyclable (residential and commercial).
- Reduction of the minimum tonnage requirement for food waste generators from 1 ton per week to less.
- State mandate for Unit Based Pricing aka Pay As You Throw or SMART

### **Attachment I**